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2023 Edition

Mechanics' Liens in Connecticut

A Guide to Resources in the Law Library

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Prepared by Connecticut Judicial Branch, Superior Court Operations, Judge Support Services, Law Library Services Unit

lawlibrarians@jud.ct.gov

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View our other research guides at https://jud.ct.gov/lawlib/selfguides.htm

This guide links to advance release opinions on the Connecticut Judicial Branch website and to case law hosted on Google Scholar and Harvard's Case Law Access Project. The online versions are for informational purposes only.

References to online legal research databases refer to in-library use of these databases. Remote access is not available.

<u>Connecticut Judicial Branch Website Policies and Disclaimers</u> https://www.jud.ct.gov/policies.htm

A Guide to Resources in the Law Library

- "In Connecticut, a mechanic's lien is a creature of statute and establishes a right of action where none existed at common law." <u>Dreambuilders Construction, Inc. v.</u> <u>Diamond</u>, 121 Conn. App. 554, 558, 997 A.2d 553 (2010).
- "Our Supreme Court has noted that 'the important purpose of mechanic's lien statutes [is] to provide an inexpensive and simple method for material suppliers and contractors to secure the value of the services or materials that they have added to the property [which is subject to the mechanic's lien].' <u>Red Rooster Construction Co. v. River Associates, Inc., 224 Conn. 563, 573, 620 A.2d 118</u> (1993)." <u>Astoria Federal Mortgage Corp. v. Genesis Ltd. Partnership</u>, 167 Conn. App. 183, 199, 143 A.3d 1121 (2016).
- "Materialman's and mechanic's lien statutes award an interest in real property to workers who have contributed their labor, and to suppliers who have furnished material, for the improvement of real property. Since neither the labor nor the material can be reclaimed once it has become a part of the realty, this is the only method by which workmen or small businessmen who have contributed to the improvement of property may be given a remedy against a property owner who has defaulted on his promise to pay for the labor and the materials." <u>Connecticut v. Doehr</u>, 501 U.S. 1, 28, 111 S.Ct. 2105, 115 L.ed.2d 1 (1991).
- "A mechanic's lien, unlike a mortgage, is 'not an agreement or contract between parties but rather a lien upon real estate which the plaintiff seeks to take by force of law and eventually to foreclose.' <u>City Iron Works, Inc. v. Frank Badstuebner Post</u> <u>No. 2090</u>, 22 Conn. Sup. 230, 231, 167 A.2d 462 (1960)." <u>First Constitution Bank</u> <u>v. Harbor Village Ltd. Partnership</u>, 230 Conn. 807, 821, 646 A.2d 812 (1994).
- "A 'mechanic' is normally envisioned as a skilled worker who brings about a result by the use of tools, machines or equipment." <u>Nickel Mine Brook Assoc. v. Joseph</u> <u>E. Sakal, P.C</u>., 217 Conn. 361, 368, 585 A.2d 1210 (1991).
- "...under well established precedent, [t]he purpose of the [mechanic's lien] statute is to give a contractor security for labor and material.... If the materials are not furnished, and the work is not done, in the construction, raising, removal or repairs of a building, there can be no lien." (Citations omitted.) <u>Stone v.</u> <u>Rosenfield, 141 Conn. 188, 191–92, 104 A.2d 545 (1954)</u>." FCM Group, Inc. v. <u>Miller</u>, 300 Conn. 774, 806, 17 A.3d 40 (2011). (Internal quotation marks omitted.)
- "Any mechanic's lien may be foreclosed in the same manner as a mortgage." Conn. Gen. Stat. <u>§ 49-33(i)</u> (2023).
- "... because a mechanic's lien foreclosure action is an equitable proceeding; <u>Russo</u> <u>Roofing, Inc. v. Rottman, 86 Conn. App. 767, 776, 863 A.2d 713 (2005)</u>; a court, in its equitable powers, must look to substance over form, may consider equitable principles even though they may not have been specifically pleaded, and 'may consider all relevant circumstances to ensure that complete justice is done.' <u>McKeever v. Fiore, 78 Conn. App. 783, 788, 829 A.2d 846 (2003)</u>." Astoria <u>Federal Mortgage Corp. v. Genesis Ltd. Partnership</u>, 167 Conn. App. 183, 199, 143 A.3d 1121 (2016).

Section 1: Basic Requirements for Mechanic's Liens in Connecticut

A Guide to Resources in the Law Library

<u>SCOPE:</u>	Bibliographic resources relating to the basic requirements for filing a mechanic's lien in Connecticut.
<u>DEFINITIONS:</u>	• "A mechanic's lien is a creature of statute and gives a right of action which did not exist at common law." <u>Diamond National Corporation</u> <u>v. Dwelle</u> , 164 Conn. 540, 543, 325 A.2d 259 (1973).
	• Who May File: "If any person has a claim for more than ten dollars for materials furnished or services rendered in the construction, raising, removal or repairs of any building or any of its appurtenances or in the improvement of any lot or in the site development or subdivision of any plot of land" Conn. Gen. Stat. § 49-33(a) (2023).
	• "The word 'material' as used in sections <u>49-33</u> to <u>49-43</u> , inclusive, shall include construction equipment and machinery that is rented or leased for use (1) in the prosecution of work provided for in the contract within the meaning of sections <u>49-33</u> to <u>49-43</u> , inclusive, or (2) in the construction, raising or removal of any building or improvement of any lot or in the site development or subdivision of any plot of land within the meaning of sections <u>49-33</u> to <u>49-33</u> to <u>49-39</u> , inclusive." Conn. Gen. Stat. <u>§ 49-42</u> (c) (2023). (Emphasis added.)
	• Required: "the claim is by virtue of an <i>agreement with or by consent of the owner</i> of the land upon which the building is being erected or has been erected or has been moved, or by consent of the owner of the lot being improved or by consent of the owner of the plot of land being improved or subdivided, <i>or of some person having authority from or rightfully acting for the owner</i> in procuring the labor or materials, the building, with the land on which it stands or the lot or in the event that the materials were furnished or services were rendered in the site development or subdivision of any plot of land, then the plot of land, is subject to the payment of the claim." Conn. Gen. Stat. § 49-33(a) (2023). (Emphasis added.)

- Claim: "is a *lien on the land, building and appurtenances* or lot or in the event that the materials were furnished or services were rendered in the site development or subdivision of any plot of land, then on the *plot of land*...." Conn. Gen. Stat. <u>§ 49-33</u>(b) (2023). (Emphasis added.)
- Where to File: "A mechanic's lien is not valid unless the person performing the services or furnishing the materials . . . lodges with the town clerk of the town in which the building, lot or plot of land is situated a certificate in writing, which shall be recorded by the town clerk with deeds of land ... and ... serves a true and attested copy of the certificate upon the owner ... in the same manner as is

provided for service of the notice in section 49-35'' Conn. Gen. Stat. <u>§ 49-34</u> (2023).

- Precedence: "the claim takes precedence over any other encumbrance originating after the commencement of the services, or the furnishing of any such materials, subject to apportionment as provided in section <u>49-36</u>." Conn. Gen. Stat. <u>§ 49-33</u>(b) (2023).
- Subcontractor: "A mechanic's lien shall not attach to any such building or its appurtenances or to the land on which the same stands or to any lot or to any plot of land, in favor of any subcontractor to a greater extent in the whole than the amount which the owner has agreed to pay to any person through whom the subcontractor claims subject to the provisions of section <u>49-36</u>." Conn. Gen. Stat. <u>§ 49-33</u>(e) (2023).

STATUTES:

You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website to confirm that you are using the most upto-date statutes.

LEGI SLATI VE:

Office of Legislative Research reports summarize and analyze the law in effect on the date of **each report's** publication. Current law may be different from what is discussed in the reports.

- Conn. Gen. Stat. (2023). Chapter 847. Mortgages and liens <u>§ 49-33</u>. Mechanic's lien. Precedence. Rights of subcontractors. <u>§ 49-34</u>. Certificate of lien to be recorded and notice given to owner.
- *Filing Mechanic's Liens*, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>2006-R-0063</u> (January 20, 2006).

"You asked for the requirements for filing mechanic's liens in Connecticut, New York, and Rhode Island."

• *Florida's Law on Mechanic's Liens*, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>2004-R-0912</u> (December 13, 2004).

"You asked if Florida's construction lien law requires contractors to perfect a lien on each job."

• *Mechanic's Lien-Subcontractors*, George Coppolo, Chief Attorney, Connecticut General Assembly, Office of Legislative Research Report, <u>98-R-0280</u> (October 2, 2003).

"You asked for a summary of the mechanic's lien law."

 Connecticut And California Mechanic's Lien Statutes, Helga Niesz, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>97-R-0628</u> (May 2, 1997).
 "You asked for a comparison of Connecticut and California mechanic's lien statutes."

FORMS:

Official Judicial Branch forms are frequently updated. Please visit the <u>Official Court</u> <u>Webforms page</u> for the current forms. .

Library of Connecticut Civil Complaints for Business Litigation, by Diane W. Whitney et al., Connecticut Law Tribune, 2010. Volume I: Construction Litigation

Form 4-010: Notice of intent to file **mechanic's lien** Form 4-011: Certificate of **mechanic's lien**

- *Library of Connecticut Collection Law Forms*, by Robert M. Singer, Connecticut Law Tribune, 2015. Form 1-**008: Mechanic's Lien Certificate**
- 3A Connecticut Practice Series, Connecticut Civil Practice Forms, 4th ed., by Joel M. Kaye et al., Thomson West, 2004, with 2022 supplement (also available on Westlaw). Form S-152: Release of Mechanic's Lien
- 1A Connecticut Legal Forms, by Douglass B. Wright, Atlantic Law Book Co., 1983.

Chapter 9. Liens § 901.1 Mechanic's liens

• *Connecticut Lawyers' Deskbook*: *Forms Index*, 2d ed., by Dennis P. Anderson, Denis R. Caron & Geoffrey K. Milne, Connecticut Bar Association, 2000.

Chapter XIII: Mechanics' Liens Certificate of mechanic's lien Notice of intent to file a mechanic's lien

CASES:

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can contact your local law librarian to learn about the tools available to you to update cases.

- Prof'l Elec. Contrs. of Conn., Inc. v. Stamford Hosp., 196 Conn. App. 430, 445, 230 A.3d 773 (2020)."Those who provide services or materials in connection with the construction of a building are entitled to claim a lien on the land that they have improved if they fall into one of two categories. Lienors are protected if they have a claim either (1) by virtue of an agreement with or the consent of the owner of the land, or (2) by the consent of some person having authority from or rightfully acting for such owner in procuring labor or materials. General Statutes § 49-33. Lienors in the second category must give timely notice of their intent to claim a lien in order to perfect their lien, while those in the first category need not give such notice. General Statutes § 49-35. Lienors in the second category include subcontractors and persons who furnish materials or services by virtue of a contract with the original contractor or with any subcontractor, that is to say at least first and second tier subcontractors. General Statutes § 49-35."
- Astoria Federal Mortgage Corporation v. Genesis Limited Partnership et al., 167 Conn. App. 183, 143 A.3d 1121 (2016). "We address the defendant's claim that the court erred in granting the plaintiff's motion to dismiss because it improperly concluded that the defendant lacked standing as a result of its failure to record, prior to filing its cross claim [to foreclose the mechanic's lien], the ...assignment of the mechanic's lien." (p. 191)

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. "Neither this court nor our Supreme Court, however, has determined whether principles of assignment and recordation override these fundamental standing requirements for a party to foreclose a mechanic's lien. In order to guide our inquiry in this regard, we seek guidance from certain provisions of the mechanic's lien statute, specifically, subsection (i) of § 49-33, as well as case law pertaining to the foreclosure of mortgages." (p. 200)

"...our Supreme Court noted that reliance on mortgage law to assess a particular aspect of the law governing mechanic's liens is appropriate, 'particularly when similar guiding policies are in play.' *First Constitution Bank v. Harbor Village Ltd. Partnership*, 230 Conn. 807 at 820, 646 A.2d 812 (1994). In this vein, we think that the principle that the mortgage follows the note, or the debt, can be **analogized to mechanic's liens for purposes of foreclosure standing.** Specifically, on the basis of our reading of the plain language of §§ 47-10, 49-17, and 49-33(i), we conclude that the failure of an **assignee of a mechanic's lien to record an otherwise valid** assignment of the lien does not deprive the assignee of the lien of **standing to commence a foreclosure action." (p. 203**-204)

- Milone & Macbroom, Inc. v. Winchester Estates, LLC et al., Superior Court, Judicial District of Litchfield, No. CV11-6004987-S (May 24, 2016) (62 Conn. L. Rptr. 382) (2016 WL 3202664). "Tissa [a defendant] argues that there are no genuine issues of material fact and it is entitled to [summary] judgment as a matter of law because the plaintiff's alleged mechanic's lien is invalid because Highland Ridge was not the owner or holder of equitable interest in the property at the time that the plaintiff commenced work and the owner did not consent to the property being subject to a mechanic's lien."
- Absolute Plumbing and Heating, LLC v. Edelman, 146 Conn. App. 383, 77 A. 3d 889 (2013). "Assuming without deciding that the defendant is correct in his assertion that the underlying contract does not meet the requirements of [the act], we do not agree that this is a bar to the plaintiff's right to recover against him under the mechanic's lien statute. The defendant has provided no authority to support his proposition that when a general contractor's lien is unenforceable for a lack of compliance with the act, the subcontractor's lien also is unenforceable." (p. 394)

"...we have determined that the court properly accepted the referee's finding of the modified contract price, it follows that, at the time the contract was terminated, there was unpaid contract debt of approximately \$100,000. Because the court properly determined that there was unpaid contract debt, a lienable fund exists from which the plaintiffs can satisfy their claims. While Schott is barred from collecting this debt due to its failure to comply with the act, the plaintiffs are not similarly constrained." (p. 395-396)

• <u>E and M Custom Homes, LLC v. Negron</u>, 140 Conn. App. 92, 106, 59 A. 3d 262 (2013). **"the evidence supports the court's finding**

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases.

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that the construction budget represented the value of materials furnished and services rendered under the mechanic's lien. The plaintiff completed the work under the first four stages of the budget and received payment for those stages. As noted previously, Thomas never alerted the lender that it would cost more than \$191,000 to construct the house, nor did he know how the extra money would be used in the construction. Accordingly, the court properly concluded that the value of the plaintiff's materials furnished and services rendered should be based on the **construction budget.**"

- Ceci Bros., Inc. v. Five Twenty-One Corp., 51 Conn. App. 773, 792, 724 A.2d 541 (1999). "We conclude that the [landscaping] services performed by the plaintiff, pursuant to the maintenance agreement, which was a status quo agreement, were not lienable under § 49-33."
- Thompson And Peck, Inc. v. Division Drywall, Inc., 241 Conn. 370, 371-372, 696 A.2d 326 (1997) "The sole issue in this appeal is whether unpaid insurance premiums owed by a subcontractor are 'materials' or 'services' under the mechanic's lien statute, General Statutes § 49-33. We conclude that because such premiums have not enhanced the property in some physical manner, laid the groundwork for the physical enhancement of the property, or played an essential part in the scheme of physical improvement of the property, they are not lienable under § 49-33."
- <u>Nickel Mine Brook Assoc. v. Joseph E. Sakal, P.C</u>., 217 Conn. 361, 369, 585 A.2d 1210 (1991). "There is no reference in the legislative history to attorneys, nor is there any evidence that the legislature intended to expand the scope of the mechanic's lien statute to include any type of legal services."
- Seaman v. Climate Control Corporation, 181 Conn. 592, 595-596, 436 A.2d 271 (1980). "... it is well to put into context the relationships between owners, contractors and subcontractors under our mechanic's lien law. Those who provide services or materials in connection with the construction of a building are entitled to claim a lien on the land that they have improved if they fall into one of two categories. Lienors are protected if they have a claim either (1) by virtue of an agreement with or the consent of the owner of the land, or (2) by the consent of some person having authority from or rightfully acting for such owner in procuring labor or materials. General Statutes § 49-33."
- <u>Battistelli v. Jacobson</u>, 13 Conn. Supp. 196, 199 (1944). "Because a landlord knows of, and consents to, repairs being made, on leased premises owned by him, under a contract with his lessee, he does not thereby forfeit any rights as lessor and owner of the leased premises, nor does he thereby consent to a pledge of his property for a default of the lessee, if he was not a party to the contract."
- Peck v. Brush, 90 Conn. 651, 654, 98 A. 561 (1916). "... it is not

necessary that the materials shall be furnished under an express contract, but it is enough if they are furnished with the consent of the owner of the land, so that there is an implied contract by him to **pay for them.**"

<u>WEST KEY</u> NUMBERS:	 Mechanics' Lien Nature, grounds, and subject matter in general, # 1-21 Right to lien, # 22-115(5)
DI GESTS:	<u>ALR Digest</u> : Mechanics' Lien

ALR: Mechanics' Lien

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ENCYCLOPEDIAS: •

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

Online databases are available for in-library use. Remote access is not available.

- 53 Am Jur 2d Mechanics' Liens, Thomson West, 2017, with 2023 supplement (Also available on Westlaw). §§ 1-29. Introduction
 - §§ 30-46. Property, estates, or interests subject to lien §§ 47-177. Right to lien
- 56 *CJS* **Mechanics' Lien**, Thomson West, 2018, with 2023 supplement (Also available on Westlaw).
 - §§ 1-14. In general
 - §§ 15-101. Right to lien
 - §§ 15-24. Nature of improvement

§§ 25-35. Services rendered and materials furnished, and amount of claim

- §§ 36-77. Contract with, or consent of, owner
- §§ 78-86. Persons entitled to lien
- §§ 87-101. **Contractors'** workers and material suppliers; subcontractors
- 46 A.L.R. 5th 1, Landlord's Liability to Third Party for Repairs Authorized By Tenant, by Elaine Marie Tomko, Thomson West, 1997 (also available on Westlaw).
- 31 *A.L.R. 5th* 664, *Architect's Services as Within Mechanics' Lien Statut*e, by Kimberly C. Simmons, 1995 (also available on Westlaw).
- 20 A.L.R. 4th 1268, Vacation and Sick Pay and Other Fringe Benefits As **Within Mechanic's Lien Statute**, by Wanda Ellen Wakefield Thomson West, 1983 (also available on Westlaw).
- 74 A.L.R. 3d 330, Enforceability of Mechanic's Lien Attached to Leasehold Estate Against Landlord's Fee, by Maurice T. Brunner, 1976 (also available on Westlaw).
- 68 A.L.R. 3d 1300, Enforceability of Single Mechanic's Lien Upon Several Parcels Against Less Than the Entire Property Liened, by J.R. Kemper 1976 (also available on Westlaw).

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

Online databases are available for in-library use. Remote access is not available.

<u>TEXTS &</u> <u>TREATISES:</u>

Each of our law libraries own the Connecticut treatises cited. You can <u>contact</u> us or visit our <u>catalog</u> to determine which of our law libraries own the other treatises cited or to search for more treatises.

References to online databases refer to in-library use of these databases. Remote access is not available.

- 52 A.L.R. 3d 797, Abandonment of Construction or of Contract As Affecting Time For Filing Mechanic's Liens Or Time For Giving Notice To Owner, by Maurice T. Brunner, 1973 (also available on Westlaw).
- 81 A.L.R. 6th 363, Subjection of Municipal Property, or Alleged **Municipal Property, to Mechanics' Liens**, by George L. Blum, 2013 (also available on Westlaw).
- 51 A.L.R. 3d 1087, Labor in Examination, Repair, Or Servicing of Fixtures, Machinery, Or Attachments In Building, As Supporting A **Mechanics' Lien, Or As Extending Time For Filing Such A Lien**, by Maurice T. Brunner, 1973 (also available on Westlaw).
- 3 A.L.R. 3d 573, Charge for Use of Machinery, Tools, Or Appliances Used In Construction As Basis For Mechanic's Lien, by R. Y. Liang, 1965 (also available on Westlaw).
- 53 Am Jur Trials 367, Litigating Construction Liens, Thomson West, 1995, with 2023 supplement (also available on Westlaw).
 §§ 30-46. Property subject to construction liens
 §§ 59-86. Persons entitled to construction liens
- A Practical Guide to Residential Real Estate Transactions and Foreclosures in Connecticut, 2d ed., by Christian R. Hoheb, editor, MCLE Press, 2021.
 - § 4.15 Mechanic's Liens
- 13 Connecticut Practice Series, *Connecticut Construction Law*, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw).
 - Chapter 6. Mechanic's Liens
 - § 6:1. General provisions
 - § 6:2. Persons entitled to lien
 - § 6:3. Property subject to mechanic's liens
 - § 6: 4. Items covered under mechanic's liens
 - § 6:12. Effect of arbitration
- 2 *Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure*, 13th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2023.
 - Chapter 16. Mechanic's Liens
 - § 16-1. Special concerns
- Connecticut Lawyer's Deskbook: A Reference Manual, 3rd ed., LawFirst Publishing, 2008.

Chapter 16. Mechanics' Liens Basic principles

What is a mechanic's lien (p. 388) Who is entitled to file a mechanic's lien? (p. 389) What type of property may be subject to a mechanic's lien (p. 389-390)

LAW REVIEWS:

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Public access to law review databases is available on-site at each of our <u>law</u> <u>libraries.</u>

- R. Bradley Morris, *Mechanic's Liens: To Lien or Not to Lien, That Is the Question*, 21 Conn. Law. 24 (2010).
- Laurence Levine, *Due Process of Law in Pre-Judgment Attachment* **And The Filing Of Mechanics' Liens**, 50 <u>Connecticut Bar Journal</u> 335 (1976).
- Charles M. Lyman, Note, *Mechanic's Lien—Priority Over Unrecorded Purchase-Money Mortgage—Gruss V. Miskinis, 130 Conn. 367* (1943). 18 <u>Connecticut Bar Journal</u> 28 (1944).

	Table 1: Rights of Subcontractor - Mechanic's Liens		
	Rights of Subcontractor		
	Statutes		
Conn. Gen. Stat. <u>§ 49-33</u> (f) (2023) You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website to confirm that you are using the most up- to-date statutes.	"Any such subcontractor shall be subrogated to the rights of the person through whom the subcontractor claims, except that the subcontractor shall have a mechanic's lien or right to claim a mechanic's lien in the event of any default by that person subject to the provisions of sections <u>49-34</u> , <u>49-35</u> and <u>49-36</u> , provided the total of such lien or liens shall not attach to any building or its appurtenances, or to the land on which the same stands or to any lot or to any plot of land, to a greater amount in the whole than the amount by which the contract price between the owner and the person through whom the subcontractor claims exceeds the reasonable cost, either estimated or actual, as the case may be, of satisfactory completion of the contract plus any damages resulting from such default for which that person might be held liable to the owner and all bona fide payments, as defined in section <u>49-36</u> , made by the owner before receiving notice of such lien or liens."		
	Cases		
Semac Electric Company, Inc. v. Skanska USA Building, Inc., Superior Court, Judicial District of Hartford, No. CV15- 6076107-S (May 17, 2017) (2017 WL 2539015) .	"Prior to filing this lawsuit, the subcontractor Semac placed a mechanic's lien on the land records against the Stamford hospital property. The contractor Skanska says the lien is wildly inflated and cost it business with the hospital. After filing suit, Semac wrote a letter to it's own sub-contractors telling them it had filed suit, that it had filed a mechanic's lien and so should they."		
<u>W. G. Glenney Co.</u> <u>v. Bianco</u> , 27 Conn. App. 199, 201, 604 A.2d 1345 (1992).	"Under Connecticut law, a subcontractor's right to enforce a mechanic's lien against a property owner is based on the doctrine of subrogation The theory of subrogation allows the plaintiff to recover only to the extent the general contractor could recover from the defendants."		
<u>Seaman v. Climate</u> <u>Control</u> <u>Corporation</u> , 181 Conn. 592, 593, 436 A.2d 271 (1980).	The sole issue on this appeal is whether a second tier subcontractor has a right to a mechanic's lien against the owner's property when the owner owes money to the general contractor, but the first tier subcontractor has been fully paid by the general contractor.		

<u>Avery v. Smith</u> , 96 Conn. 223, 225, 113 A. 313 (1921).	"It is well settled that under our mechanic's lien law a subcontractor's right of lien depends upon the existence of such a right in the original contractor, whether perfected by him or not"
Waterbury Lumber & Coal Co. v. Coogan, 73 Conn. 519, 521, 48 A. 204 (1901).	"Statutory liens on real estate for improvements put upon them otherwise than by contract with the owner, are of two classes. These may be imposed in favor of a subcontractor because he is equitably entitled to a lien that would otherwise attach in favor of the contractor; or, under certain circumstances, they may be imposed on the ground that the improvements have so enhanced the value of the estate that it would be inequitable to allow the owner to be enriched at the expense of him by whom they were made."
	Legislative
Office of Legislative Research reports summarize and analyze the law in effect on the date of each report's publication. Current law may be different from what is discussed in the reports.	<i>Mechanic's Lien</i> -Subcontractors, George Coppolo, Chief Attorney, Connecticut General Assembly, Office of Legislative Research Report, <u>98-R-0280</u> (October 2, 2003).
	Encyclopedias
Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations. Online databases are available for in-library use. Remote access is not available.	 24 ALR 4th 963, Right of Subcontractor's Subcontractor or Materialman, or of Materialman's Materialman, To Mechanic's Lien, by James McLoughlin (1983). Also available on Westlaw. 75 ALR 3d 505, Release or Waiver of Mechanic's Lien by General Contractor as Affecting Rights of Subcontractor or Materialman, by Maurice T. Brunner (1977). Also available on Westlaw. 69 ALR 3d 1342, Effect of Bankruptcy of Principal Contractor Upon Mechanic's Lien of Subcontractor, Laborer or Materialman as Against Owner of Property, by
	 J.R. Kemper (1976). Also available on Westlaw. 62 ALR 3d 288, Building and Construction Contracts: Right of Subcontractor Who Has Dealt Only with Primary Contractor to Recover Against Property Owner in Quasi Contract, by J.R. Kemper (1975). Also available on Westlaw.

Treatises		
You can <u>contact</u> us or visit our <u>catalog</u> to determine which of our law libraries own the treatises cited.	 13 Connecticut Practice Series, Connecticut Construction Law, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw). Chapter 6. Mechanic's Liens § 6:2. Persons entitled to lien 	
References to online databases refer to in-library use of these databases.	 Connecticut Lawyer's Deskbook: A Reference Manual, 3rd ed., LawFirst Publishing, 2008. Chapter 16. Mechanics' Liens Basic principles Who is entitled to file a mechanic's lien? (p. 389) How is a mechanic's lien challenged? (p. 393) 1. Subrogation 	

Section 2: Mechanic's Lien Certificate

A Guide to Resources in the Law Library

- <u>SCOPE:</u> Bibliographic resources relating to obtaining or perfecting a mechanic's lien in Connecticut.
- Mechanic's Lien Certificate: "A mechanic's lien is not valid unless DEFINITIONS: the person performing the services or furnishing the materials (1) within ninety days after he has ceased to do so, lodges with the town clerk of the town in which the building, lot or plot of land is situated a certificate in writing, which shall be recorded by the town clerk with deeds of land, (A) describing the premises, the amount claimed as a lien thereon, the name or names of the person against whom the lien is being filed and the date of the commencement of the performance of services or furnishing of materials, (B) stating that the amount claimed is justly due, as nearly as the same can be ascertained, and (C) subscribed and sworn to by the claimant, and (2) not later than thirty days after lodging the certificate, serves a true and attested copy of the certificate upon the owner of the building, lot or plot of land in the same manner as is provided for the service of the notice in section 49-35." Conn. Gen. Stat. § 49-34 (2023).

Chapter 847. Mortgages and liens

Conn. Gen. Stat. (2023).

to owner.

subcontractors.

STATUTES:

You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website.

LEGI SLATI VE:

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Office of Legislative Research reports summarize and analyze the law in effect on the date of **each report's** publication. Current law may be different from what is discussed in the reports. *Filing Mechanic's Lien*, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>2006-R-0063</u> (January 20, 2006).

§ 49-33. Mechanic's lien. Precedence. Rights of

§ 49-34. Certificate of lien to be recorded and notice given

"You asked for the requirements for filing mechanic's liens in Connecticut, New York, and Rhode Island."

 Florida's Law on Mechanic's Liens, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>2004-R-0912</u> (December 13, 2004).
 "You asked if Elorida's construction lien law requires contractors."

"You asked if Florida's construction lien law requires contractors to perfect a lien on each job."

• Connecticut And California Mechanic's Lien Statutes, Helga Niesz, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>97-R-0628</u> (May 2, 1997).

"You asked for a comparison of Connecticut and California mechanic's lien statute."

FORMS:

Official Judicial
Branch forms are
frequently updated.
Please visit the
<u>Official Court</u>
<u>Webforms page</u> for
the current forms.

- Library of Connecticut Civil Complaints for Business Litigation, by Diane W. Whitney et al., Connecticut Law Tribune, 2010. Volume I: Construction Litigation Form 4-**010: Notice of intent to file a mechanic's lien** Form 4-**011: Certificate of mechanic's lien**
- Library of Connecticut Collection Law Forms, by Robert M. Singer, Connecticut Law Tribune, 2015.
 Form 1-008: Mechanic's Lien Certificate
- *Connecticut Lawyers' Deskbook*: *Forms Index*, 2d ed., by Dennis P. Anderson, Denis R. Caron & Geoffrey K. Milne, Connecticut Bar Association, 2000.

Chapter XIII: Mechanics' Liens Certificate of mechanic's lien Notice of intent to file a mechanic's lien

- 1A Connecticut Legal Forms, by Douglass B. Wright, Atlantic Law Book Co., 1983.
 § 901.1 Mechanic's liens
- <u>CHECKLISTS:</u> Connecticut Lawyer's Deskbook: A Reference Manual, 3rd ed., LawFirst Publishing, 2008.
 - Chapter 16: Mechanics' Liens

A. Checklist for preparing a certificate of mechanic's lien (p. 397)

B. Checklist for perfecting a mechanic's lien (p. 397)

CASES:

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases.

- Labossiere v. T&A Mechanical, LLC, Superior Court, Judicial District of Windham, No. CV-176011434-S (June 29, 2017) (64 CLR 731) (2017 WL 3332734). "At the hearing on the application, the plaintiff argued that the certificate failed to satisfy the requirement that it be sworn in accordance with General Statutes § 49–34(1)(C). The defendant argued that the statute requires only a signing under oath, and that the oath need not be set forth in the document itself. Clifford Gideon, the defendant's officer who signed the certificate, testified that Richard Raphael, an attorney, had administered an oath prior to the signing of the certificate. Raphael also testified that he had taken Gideon's statement under oath."
- Absolute Plumbing and Heating, LLC v. Edelman, 146 Conn. App. 383, 387, 77 A. 3d 889 (2013). "Schott engaged the plaintiffs as subcontractors to perform work on the premises.... The plaintiffs subsequently filed separate certificates of mechanic's lien for the amounts they were owed for their work on the project."
- <u>ProBuild East, LLC v. Poffenberger</u>, 136 Conn. App. 184, 187, 45 A.
 3d 654 (2012). "In its memorandum of decision, the court first determined that the lien was not invalidated by an incorrect commencement date on the certificate because the error was not

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made in bad faith and did not harm the defendant."

- ProBuild East, LLC v. Poffenberger, 136 Conn. App. 184, 189, 45 A. 3d 654 (2012). "Section <u>49-34</u> sets forth the required contents of a certificate of mechanic's lien. Among the requisites is that the certificate state 'the date of the commencement of the performance of services or furnishing of materials...' The commencement date, and not the filing date, establishes the lienor's priority as against other claimants."
- <u>36 Deforest Avenue, LLC v. Creadore</u>, 99 Conn. App. 690, 696, 915 A.2d 916 (2007). "Because the project was ongoing, the filing of the defendant's lien more than ninety days after the performance of services in December, 2003 through May, 2004, and the fact that the final services rendered in November, 2004 were of a different nature than those previously performed, do not compel the conclusion that lien was untimely as to the earlier services."
- Haynes Material Company v. Frank Kerski et al., Superior Court, Judicial District of Ansonia-Milford at Milford, No. CV04-4001622 (July 14, 2005) (39 Conn. L. Rptr. 659) (2005 WL 2078524). "In the present case, the certificate of mechanic's lien recorded on the land records contains a proper description of the property subject to the lien. In fact, the mechanic's lien here is a blanket lien against a 'plot' of land. Under § 49-33(a), a plaintiff may file a blanket lien against a 'plot' of land that has been subdivided. Butch v. Mangomutha, Superior Court, judicial district of Hartford-New Britain at Hartford, Docket No. CV 900375968 (April 28, 1993, Aurigemma, J.) (8 C.S.C.R. 538). Moreover, the Appellate Court has held that 'the filing of a blanket lien against a subdivision is the appropriate way for a contractor providing materials and services for a subdivision to proceed, even when some lots in the subdivision have subsequently been transferred to individual owners.' <u>Butch v.</u> Thangamuthu, 37 Conn. App. 547, 550, 657 A.2d 684 (1995); see also Pomarico v. Gary Construction, Inc., 5 Conn. App. 106, 109-11, 497 A.2d 70, cert. denied, 197 Conn. 816, 499 A.2d 1336 (1985). Accordingly, the court determines that the property description contained in the plaintiff's mechanic's lien satisfies the requirement of General Statutes § 49-34."
- Louis Gherlone Excavating, Inc. v. McLean Construction Co., 88 Conn. App. 775, 785, 871 A.2d 1057 (2005). "We therefore conclude that the plaintiff cannot prevail in this action to foreclose the mechanic's lien because the certification does not contain a verification that the facts contained in it are true."
- <u>David Nichols Builders, Inc. v. Mavor</u>, Superior Court, Judicial District of Windham at Putnam, No. CV04-00721855 (Apr. 7, 2005) (39 Conn. L. Rptr. 64) (2005 WL 1089755). "The mechanic's lien presently before the court is almost exactly like the one found in Red Rooster [*Red Rooster Construction Co. v. River Associates*, 224 Conn. 563, 577-78, 620 A.2d 118 (1993)] where the court held that unless said liens are 'sworn to' by the lienor, the mechanic's lien is

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invalid. In both cases the mechanic's lien document filed in the land records, after recitation of the basis for the lien and a claim for same, signed by party claiming the lien, stated, `... personally appeared, and made solemn oath that the facts herein are true . . .' followed by the signature of the notary alone. As such, without a written oath appearing on the certificate of mechanic's lien, as well as the performance or execution of an oath swearing by the plaintiff, the present mechanic's lien, as that in Red Rooster, is ineffective pursuant to § 49-34(1)(c) and subsequent case law illuminating the statutorily required oath."

F.B. Mattson Company, Inc. v. Tarte, 247 Conn. 234, 239-240, 719 A.2d 1158 (1998). "We previously have concluded that, although the general rule is that the time period for filing a certificate of mechanic's lien commences on the last date on which services were performed or materials were furnished; Martin Tire & Rubber Co. v. Kelly Tire & Rubber Co., 99 Conn. 396, 403, 122 A. 102 (1923); when work has been substantially completed and the contractor unreasonably has delayed final completion, the time period for filing a certificate of mechanic's lien will be computed from the date of substantial completion. Id. Moreover, when an unreasonable period of time has elapsed since substantial completion of the work, the performance of trivial services or the furnishing of trivial materials generally will not extend the time for filing the certificate past the date of substantial completion. Id., 400. If, however, subsequent to the date of substantial completion, trivial services or materials are provided at the request of the owner, rather than at the initiative of the contractor for the purpose of saving a lien, the furnishing of such work or material will extend the commencement of the period for filing a certificate of mechanic's lien."

<u>WEST KEY</u>	•	Mechanics' Liens
NUMBERS:		III. Proceedings to perfect, # 116-160

- **DIGESTS**:
- INDICES:

ENCYCLOPEDIAS: •

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

Online databases are available for in-library use. Remote access is not available.

- ALR Digest: Mechanics' Liens
- ALR: Mechanics' Liens
- 53 Am Jur 2d Mechanics' Liens, Thomson West, 2017, with 2023 supplement (also available on Westlaw).

§§ 178-243. Procedure for obtaining or perfecting mechanic's lien

- §§ 178-180. In general
- §§ 181-188. Notice to owner
- §§ 189-243. Claim, statement, or notice of lien
- §§ 244-273. Operation and effect of lien
- §§ 274-277. Assignment of lien or claim
- 56 CJS Mechanics' Lien, Thomson West, 2018, with 2023 supplement (also available on Westlaw). §§ 102-198. Perfection of lien
- 52 A.L.R. 3d 797, Abandonment Of Construction Or Of Contract As

Affecting Time For Filing Mechanic's Liens or Time for Giving Notice to Owner, by Maurice T. Brunner, 1973 (also available on Westlaw).

• 48 A.L.R. 3d 153, Sufficiency of Designation of Owner in Notice, Claim, Or Statement of Mechanic's Lien, by Maurice T. Brunner, 1973 (also available on Westlaw).

<u>TEXTS &</u> <u>TREATISES:</u>

You can contact us

or visit our catalog

to determine which

of our law libraries own the treatises

References to online

databases refer to

in-library use of these databases.

cited

• 13 Connecticut Practice Series, *Connecticut Construction Law*, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw).

Chapter 6. Mechanic's liens § 6:5. Substantive requirements of a certificate of mechanic's lien

• 2 *Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure*, 13th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2023.

Chapter 16. Mechanic's Liens

§ 16-3. Service of the Mechanic's Lien

§ 16-3:1. Service on the "Original Contractor"

• *Connecticut Lawyer's Deskbook: A Reference Manual,* 3rd ed., LawFirst Publishing, 2008.

Chapter 16. *Mechanics' Liens*

Basic principles

What are the substantive requirements of a certificate of **mechanic's lien?** (p. 390)

How is a mechanic's lien challenged? (p. 393)

3. Technical defects in the certification of mechanic's lien (p. 394)

LAW REVIEWS:

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Public access to law review databases is available on-site at each of our <u>law</u> <u>libraries.</u> Laurence Levine, *Due Process of Law in Pre-Judgment Attachment and the Filing Of Mechanics' Liens*, 50 <u>Connecticut Bar Journal</u> 335 (1976).

<u>(TS &</u>

Mechanic's Lien Certificate Conn. Gen. Stat. <u>§ 49-34 (2023)</u>		
A mechanic's li furnishing the	en is not valid unless the person performing the services or materials	
Within 90- days of ceasing to perform services or furnish materials	(1) within ninety days after he has ceased to do so, lodges with the town clerk of the town in which the building, lot or plot of land is situated a certificate in writing, which shall be recorded by the town clerk with deeds of land	
	(A) describing the premises, the amount claimed as a lien thereon, the name or names of the person against whom the lien is being filed and the date of the commencement of the performance of services or furnishing of materials	
	(B) stating that the amount claimed is justly due, as nearly as the same can be ascertained	
	(C) subscribed and sworn to by the claimant	
Within 30- days after lodging certificate	(2) not later than thirty days after lodging the certificate, serves a true and attested copy of the certificate upon the owner of the building, lot or plot of land in the same manner as is provided for the service of the notice in section 49-35.	

Section 3: Notice and Service Requirements

A Guide to Resources in the Law Library

- Bibliographic resources relating to notice and serving requirements of **mechanic's lien in Connecticut**.
- Perfect: "Because the mechanic's lien is a creature of statute, a lienor must comply with statutory requirements in order to perfect his claim." <u>H & S Torrington Assoc. v. Lutz Engineering Co.</u>, 185 Conn. 549, 553, 441 A.2d 171 (1981).

Conn. Gen. Stat. (2023)

materialmen.

STATUTES:

SCOPE:

You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website.

LEGI SLATI VE:

Office of Legislative Research reports summarize and analyze the law in effect on the date of **each report's** publication. Current law may be different from what is discussed in the reports. *Filing Mechanic's Lien*, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>2006-R-0063</u> (January 20, 2006).

"You asked for the requirements for filing mechanic's liens in Connecticut, New York, and Rhode Island."

 $\frac{49-34}{2}$. "A mechanic's lien is not valid unless the person

performing the services or furnishing the materials not

and attested copy of the certificate upon the owner of the

§ 49-35. Notice of intent. Liens of subcontractors and

for the service of the notice in section 49-35."

later than thirty days after lodging the certificate, serves a true

building, lot or plot of land in the same manner as is provided

• *Florida's Law on Mechanic's Liens*, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>2004-R-0912</u> (December 13, 2004).

"You asked if Florida's construction lien law requires contractors to perfect a lien on each job."

 Massachusetts Lien Notices, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>98-R-0295</u> (February 17, 1998).

"You asked for a description of the notice requirements in the Massachusetts mechanic's lien law."

 Connecticut And California Mechanic's Lien Statutes, Helga Niesz, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>97-R-0628</u> (May 2, 1997).
 "You asked for a comparison of Connecticut and California mechanic's lien statute."

FORMS:

 Library of Connecticut Civil Complaints for Business Litigation, by Diane W. Whitney et al., Connecticut Law Tribune, 2010.
 Volume I: Construction Litigation
 Form 4-010: Notice of intent to file a mechanic's lien • *Connecticut Lawyers' Deskbook*: *Forms Index*, 2d ed., by Dennis P. Anderson, Denis R. Caron & Geoffrey K. Milne, Connecticut Bar Association, 2000.

Chapter XIII: Mechanics' Liens Notice of intent to file a mechanic's lien

CHECKLISTS:

Connecticut Lawyer's Deskbook: A Reference Manual, 3rd ed., LawFirst Publishing, 2008. Chapter 16: Mechanics' Liens B. Checklist for perfecting a mechanic's lien (p. 397)

CASES:

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can contact your local law librarian to learn about the tools available to you to update cases.

- Drywall Perfection Corp. v. Quagliano, Superior Court, Judicial District of Middlesex, No. MMX-CV10-6002039-S, (Aug. 21, 2012) (2012 WL 4040273). "Pursuant to Connecticut General Statutes Sections 49–33, 49–34 and 49–35, Salafia provided John Quagliano with notice of intent to claim a mechanics lien in the amount of \$4,500.00, recording such notice and intent on the Land Records of the City of Middletown within 90 days of December 17, 2008 when it ceased furnishing labor and materials in improving such property. Salafia also filed on the City of Middletown land records a lis pendens citing this foreclosure. It now seeks foreclosure of its lien."
- LaMetta & Sons, Inc. v. Bliss, Superior Court, Judicial District of Stamford-Norwalk, No. CV09-5011966-S, (Nov. 8, 2011) (52 Conn.
 L. Rptr. 854) (2011 WL 6004387). "Defendant Baum contends, therefore, that the service upon her was defective under § 49–35(a) because the property and the defendant Bliss's residence were not in the same town, and the statute in that event requires service by registered certified mail only. The court disagrees. When the liened property and the address of the owner are in the same town, the statute provides that service "shall" be by abode service. But when, as here, the liened property and the owner's residence are not in the same town, the statute provides that service "may be" by registered or certified mail."
- <u>Rollar Construction v. Granite Rock</u>, 94 Conn. App. 125, 129 n.7, 891 A.2d 133 (2006). "General Statutes § 49-34 includes five requirements to filing a valid mechanic's lien. If any of those requirements fail, the lien is invalid. Because the court found the plaintiff did not comply with two of the requirements of the statute, it is only necessary for us to agree with the court on one of the grounds in order to affirm the invalidity of the mechanic's lien."
- O.J. Mann Electric Services, Inc. v. The Village at Kensington Place, Superior Court, Judicial District of New Haven, No. CV02-0282281S (Dec. 17, 2004) (38 Conn. L. Rptr. 463) (2004 WL 3130257). "The complaint alleges that the plaintiff completed its services on June 19, 2001, and the parties' stipulated that plaintiff filed the mechanic's lien on July 14, 2002. The court requested supplemental briefing on whether the plaintiff was required to plead and prove compliance with General Statutes §§ 49-34 and 49-35(a). Although this and other trial courts have held that noncompliance with the

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. requirements of those statutes can defeat a mechanic's lien; see, e.g., *Yurchuk v. Soro Land Co.*, Superior Court, judicial district of Litchfield, Docket No. CV 00-00839995 (July 17, 2003); *Santa Fuel, Inc. v. Vargo*, Superior Court, judicial district of Fairfield at Bridgeport, Docket No. CV 00-0374050 (May 30, 2001, Brennan, J.) (29 Conn. L. Rptr. 547); Steeltech Building Products v. Viola, Superior Court, judicial district of Hartford, Docket No. CV 98-0580266 S (May 15, 2000, Wagner, J.T.R.); neither party has cited, nor has the court found, authority requiring a party seeking to enforce a mechanic's lien to plead or prove compliance with notice requirements of the mechanic's lien statutes, in the absence of proof of non-**compliance.**"

<u>Allen Construction, v. Cabanilla</u>, Superior Court, Judicial District of Fairfield, No. CV00-376419S (Jun. 7, 2001) (2001 WL 746450).
 "The plaintiff filed a supplemental memoranda and therein argues that the inclusion of 'Inc.' after Allen Construction was a misnomer or scrivener's error and that the proper denomination should have been Allen Construction, otherwise known as Jesse Allen d/b/a Allen Construction. The plaintiff contends that it abided by the notice and service requirements set out in General Statutes §§ 49-35(a) and 49-34 and, hence, the defendants were not misled or confused by the misnomer."

<u>WEST KEY</u>	Mechanics' Liens
NUMBERS:	IV. Proceedings to perfect, # 116-160

- <u>ALR Digest</u>: Mechanics' Liens
- ENCYCLOPEDIAS: 53 Am Jur 2d Mechanics' Liens, Thomson West, 2017, with 2023 supplement (also available on Westlaw).
 - § 181. Notice to owner, generally
 - § 182. Sufficiency of notice
 - § 183. —Content of notice under Uniform Construction Lien Act
 - § 184. Formal requisites of written notice
 - § 185. Time of notice
 - § 186. Service of notice
 - § 187. Persons to be served
 - § 188. Effect of failure to serve sufficient or proper notice

56 CJS Mechanics' Lien, Thomson West, 2018, with 2023

supplement (also available on Westlaw).

- §§ 105-117. Notice to owner
 - §§ 105-108. In general
 - §§ 109-112. To whom given
 - §§ 113-114. Time for giving
 - §§ 115-117. Form and contents
- 52 A.L.R. 3d 797, Abandonment Of Construction Or Of Contract As Affecting Time For Filing Mechanic's Liens or Time for Giving Notice to Owner, by Maurice T. Brunner, 1973 (also available on Westlaw).g

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

DI GESTS:

Online databases are available for in-library use. Remote access is not available. 48 A.L.R. 3d 153, Sufficiency of Designation of Owner in Notice, Claim, Or Statement of Mechanic's Lien, by Maurice T. Brunner, 1973 (also available on Westlaw).

<u>TEXTS &</u> TREATISES:

You can <u>contact</u> us or visit our <u>catalog</u> to determine which of our law libraries own the treatises cited.

References to online databases refer to in-library use of these databases.

- 13 Connecticut Practice Series, *Connecticut Construction Law*, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw).
 - Chapter 6. Mechanic's liens § 6:6. Recording and notice requirements
- 2 *Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure*, 13th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2023.

Chapter 16. Mechanic's Liens

- § 16-3. Service of the Mechanic's Lien
- § 16-3:1. Service on the "Original Contractor"
- *Connecticut Lawyer's Deskbook: A Reference Manual,* 3rd ed., LawFirst Publishing, 2008.

Chapter 16: *Mechanics' Liens* Basic principles - What are the notice requirements of a certificate of mechanic's lien? (p. 391)

Table 3: Service of Notice on Owner or Original Contractor

Service of Notice on Owner or Original Contractor

"A mechanic's lien is not valid unless the person performing the services or furnishing the materials not later than thirty days after lodging the certificate, serves a true and attested copy of the certificate upon the owner of the building, lot or plot of land in the same manner as is provided for the service of the notice in section 49-35." Conn. Gen. Stat. $\frac{§}{9} 49-34$ (2023).

	Manner of service on owner or original contractor
Resides in same town	" The notice shall be served upon the owner or original contractor, if such owner or original contractor resides in the same town in which the building is being erected, raised, removed or repaired or the lot is being improved, or the plot of land is being improved or subdivided, by any indifferent person, state marshal or other proper officer, by leaving with such owner or original contractor or at such owner's or the original contractor's usual place of abode a true and attested copy thereof." Conn. Gen. Stat. § 49-35(a) (2023). (Emphasis added.)
Does not reside in same town	" If the owner or original contractor does not reside in such town, but has a known agent therein, the notice may be so served upon the agent, otherwise it may be served by any indifferent person, state marshal or other proper officer, by mailing a true and attested copy of the notice by registered or certified mail to the owner or original contractor at the place where such owner or the original contractor resides. " Conn. Gen. Stat. § 49-35(a) (2023). (Emphasis added.)
Unclaimed 2 or more	" If such copy is returned unclaimed, notice to such owner or original contractor shall be given by publication in accordance with the provisions of section 1-2. When there are two or more owners, or two or more original contractors, the notice shall be so served on each owner and on each original contractor. The notice, with the return of the person who served it endorsed thereon, shall be returned to the original maker of the notice not later than thirty days after the filing of the certificate pursuant to section 49-34." Conn. Gen. Stat. § 49-35(a) (2023). (Emphasis added.)

Notice of S	Notice of Service by a Subcontractor to Owner and Original Contractor	
Written contract	Notice to Owner: <u>H & S Torrington Associates v. Lutz Engineering Co., Inc</u> . 185 Conn. 549, 553, 554,555,556, 441 A2d. 171 (1981).	
	"General Statutes 49-35(a) provides that no subcontractor except one 'whose contract with the original contractor is in writing and has been assented to in writing by the other party to the original contract is entitled to claim anymechanic's lien, unless after commencing, and not later than 60 days (currently 90 days) after ceasing to furnish materials or render services for such construction, he gives written notice to the owner of the building, lot or plot of landand intends to claim a lien"	
	"General Statutes 49-34,, required anyone claiming a mechanic's lien to cause to be recorded a written lien certificate within 60 days (currently 90 days) after he has ceased performing services or furnishing materials , and 'within the same time, or prior to lodging of the certificate but not later than seven days (currently 30 days) after lodging the certificate[to serve]a true and attested copy of the certificate upon the owner of the building, lot or plot of land'"	
	"Both notice requirements may be satisfied in one documentthe defendant substantially complied with the notice requirements of both statutes when it served a copy of the lien certificate upon the property owners"	
	Notice to Original Contractor: "a subcontractorgives written notice to the owner of the building, lot or plot of land and to the original contractor that he or she has furnished or commenced to furnish materials, or rendered or commenced to render services, and intends to claim a lien therefore on the building, lot or plot of land; provided an original contractor shall not be entitled to such notice, unless, not later than 15 days after commencing the construction raising, removal or repairing of the building, or the development of any lot, or the site development or subdivision of any plot of land, such original contractor lodges with the town clerk of the town in which the building, lot or plot of land is situated an affidavit in writing, which shall be recorded by the town clerk with deeds of land, (1) stating the name under which such original contractor conducts business, (2) stating the original contractor's business address, and (3) describing the building, lot or plot of land." Conn. Gen. Stat. § 49-35(a) (2023). (Emphasis added).	
No written contract	"No subcontractor, without a written contract complying with the provisions of this section, and no person who furnishes material or renders services by virtue of a contract with the original contractor or with any subcontractor, may be required to obtain an agreement with, or the consent of, the owner of the land, as provided in section 49-33, to enable him to claim a lien under this section. " Conn. Gen. Stat. § 49-35(b) (2023). (Emphasis added.)	

Section 4: Discharge or Reduction of Mechanic's Lien

A Guide to Resources in the Law Library

SCOPE:	Bibliographic references related to discharge or reduction of a mechanic's lien
<u>TREATED</u> ELSEWHERE:	 See <u>Section 6: Dissolution of a Mechanic's Lien by</u> <u>Substitution of Bond</u>
STATUTES: You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website to confirm that you are using the most up- to-date statutes.	 Conn. Gen. Stat. (2023) § 49-35a. Application for reduction or discharge. Forms. Hearing. Entry Fee. § 49-35b. Burden of proof at hearing. Authority of court. § 49-35c. Appeal. § 49-35d. Validation of lien recorded prior to April 22, 1975. § 49-36. Liens limited; apportionment; payments to original contractor. § 49-37. Dissolution of mechanics' lien by substitution of bond. Joinder of actions on claim and bond. § 49-39. Time limitation of mechanic's lien. Action to foreclose privileged. § 49-40a. Mechanic's lien expired by limitation of time. § 49-51. Discharge of invalid lien.
<u>LEGISLATIVE:</u> Office of Legislative <u>Research</u> reports summarize and analyze the law in effect on the date of each report's publication.	 <i>RE: Release of Mechanic's Liens</i>, George Coppolo, Chief Attorney, Connecticut General Assembly, Office of Legislative Research Report, <u>94-R-0035</u> (January 7, 1994). "You asked us to review the enclosed letter from attorney Mark Leder concerning the release of mechanic's liens."
FORMS:	 Conn. Gen. Stat. (2023) <u>§ 49-35a</u>. Application for reduction or discharge. <i>Forms.</i> Hearing. Entry Fee. (Emphasis added.)

- 3A Connecticut Practice Series, *Connecticut Civil Practice Forms*, 4th ed., by Joel M. Kaye et al., Thomson West, 2004, with 2022 supplement (also available on Westlaw).
- Form S-151. Application, order and summons for discharge or reduction of mechanic's lien. See Figure 1. Application for discharge or reduction of mechanic's lien
- Form S-152: Release of Mechanic's Lien
- Library of Connecticut Collection Law Forms, by Robert M. Singer, Connecticut Law Tribune, 2015.
 Form 7-002: Motion for discharge or reduction of

mechanic's lien

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- Connecticut Lawyers' Deskbook: Forms Index, 2d ed., by Dennis P. Anderson, Denis R. Caron & Geoffrey K. Milne, Connecticut Bar Association, 2000. Chapter XIII: Mechanics' Liens Release of Mechanic's Lien Application for Discharge or Reduction of Mechanic's Lien
- 1A Connecticut Legal Forms, by Douglass B. Wright, Atlantic Law Book Co., 1983.

 \S 901.5. Application for discharge or reduction of $\mbox{mechanic's lien}$

Order for hearing and notice Order

CHECKLISTS:

 12A Am Jur Legal Forms 2d Mechanics' Liens, Thomson West, 2008, with 2023 supplement (also available on Westlaw).

§ 173: 31. Form drafting Guide—Checklist—Matters to consider when drafting an instrument to release or **otherwise discharge a mechanic's lien**

CASES:

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. HM Construction & Painting, LLC v. McEnery, Superior Court, Judicial District of Danbury at Danbury, No. DBD-CV-21-6039856-S (July 26, 2023) (2023 WL 4882643). "'A mechanic's lien based on a home improvement contract which is unenforceable under the provisions of the [HIA] must be discharged.' (Internal quotation marks omitted.) Lambert v. Abe Con, LLC, Superior Court, judicial district of Waterbury, Docket No. CV-08-5007590-S, 2008 Conn. Super. LEXIS 1880 (July 30, 2008, Alvord, J.). Even though the court has determined that the agreement between the parties is unenforceable, and therefore, the plaintiff cannot foreclose on the mechanic's lien, the court cannot issue an order discharging the mechanic's lien because the defendants have not made a request to discharge the mechanic's lien in their prayer for relief on their counterclaim or complied with the statutory procedures outlined in General Statutes §§ 49-35a and 49-35b. 'In this state, a mechanic's lien is a creature of statute and gives a right of action which did not exist at common law.' (Internal quotation marks omitted.) Coppola Construction Co. v. Hoffman Enterprises Ltd. Partnership, 157 Conn. App. 139, 192, 117 A.3d 876, cert. denied, 318 Conn. 902, 122 A.3d 631 (2015). A party seeking to discharge a mechanic's lien must look to the procedures outlined in § 49-35a (a),4 if no action for foreclosure of the mechanic's lien has commenced, and § 49-35a (c), when there is a foreclosure action on the mechanic's lien pending. See Oldcastle Precast Pipe, Inc. v. Public Storage, Superior Court, judicial district of Danbury, Docket No. CV-08-5004564-S, 2010 Conn. Super. LEXIS

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. 2042 (August 6, 2010, Marano, J.). Although the defendants have requested 'such other and further equitable relief as the court may deem appropriate' in their prayer for relief on their counterclaim, the remedy for removal of a mechanic's lien is a statutory remedy, not an equitable remedy. See <u>Anthony Julian Railroad Construction Co. v. Maiy [sic] Ellen</u> <u>Drive Associates</u>, 50 Conn. App. 289, 296, 717 A.2d 294 (1998). Thus, the court cannot order the discharge of the mechanic's lien without the defendants following the statutory requirements outlined in §§ 49-35a and 49-35b."

- Strazza Bldg. & Constr., Inc. v. Harris, 207 Conn. App. 649, 654, 262 A.3d 996 (2021). "General Statutes §§ 49-33 and 49-36 . . . define and delimit the fund to which a properly noticed mechanic's lien may attach. Both of these sections start with the proposition that no mechanic's lien may attach to any building or land in an amount greater than the price which the owner has agreed to pay to the general contractor for the building being erected or improved. This amount may be diminished to the extent that it exceeds the reasonable cost . . . of satisfactory completion of the contract plus any damages resulting from . . . default for which [the general contractor] might be held liable to the owner. . . . The amount may be diminished further by bona fide payments, as defined in § 49-36, made by the owner [to the general contractor] before receiving notice of [the mechanic's] lien or liens.' (Citation omitted; footnotes omitted; internal quotation marks omitted.) Rene Dry Wall Co. v. Strawberry Hill Associates, 182 Conn. 568, 571-72, 438 A.2d 774 (1980). The court in the Rozmus action first concluded that the total lienable fund was \$ 151,589.15, but after subtracting the credits owed to Harris, the court ultimately concluded that the total adjusted lienable fund was negative \$ 109,605.29. Thus, because the lienable fund for Strazza's contract was entirely exhausted, the lien held by Rozmus was invalid and ordered discharged."
- Alina Wegrzyniak v. Hanley Construction LLC., Superior . Court, Judicial District of Hartford, No. HHDCV166078057S (October 18, 2017) (65 Conn. L. Rptr. 342) (2017 WL 5505178). "The correct amount of the lien is a simple matter of determining the contract amount and subtracting the amounts paid. Thus, the burden of proving the invalidity by clear and convincing evidence is not great. In any case, here the court has already held that the Wegrzyniaks in trying to get rid of the entire lien haven't even met the lesser burden of a preponderance of the evidence. Therefore, at least in this case, the burden of proof would make no difference. Allowing a mechanic a lien without a hearing doesn't deprive the owner of the liened land of due process. The balance of the parties' competing interest in the property combined with the relative ease of discharging bogus liens makes the process fair enough to pass constitutional muster. Therefore,

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. to the extent the Wegrzyniaks base their application to discharge the mechanic's liens in this case on the ground that they are void as unconstitutional, the application is denied."

- <u>DPM New Britain Self Storage, LLC v. ABMG General</u> <u>Contracting Corp.</u>, Superior Court, Judicial District of New Britain, No. HHBCV176036815S (June 12, 2017) (64 Conn. L. Rptr. 825) (2017 WL 3613509). "If, after the work is substantially done, the claimant for the material furnished or services rendered shall unreasonably delay the completion of the work, the date of beginning of the sixty-day period will be taken as the date when the work was substantially done. No trivial or inconsequential service or work done after the substantial completion of the building will extend the time for claiming the lien, or revive an expired lien when an unreasonable period has elapsed since the substantial completion."
- <u>CLW Real Estate Developments, LLC v. SAB Construction</u> <u>Management, LLC</u>, Superior Court, Judicial District of Middlesex at Middletown, No. CV16- 6016221-S (October 26, 2016) (2016 WL 8310081). "The court finds that SAB did not establish probable cause to support the validity of the lien. It did not do any work on the site development or subdivision of the Liened Property. Rather, it is attempting to use the vehicle of the mechanic's lien to secure it claim to contract damages. As stated by the court in <u>E and M Custom Homes</u>, <u>LLC v. Negron</u>, 140 Conn. App. 92, 104, 59 A.3d 262, that is not a proper use of the mechanic's lien statutes. The application to discharge the lien is granted and the lien is discharged."
- <u>Csak v. A.P. Savino, LLC</u>, Superior Court, Judicial District of Stamford-Norwalk at Stamford, No. CV16-6027678-S (May 18, 2016) (62 Conn L. Rptr. 366) (2016 WL 3179778). "The Connecticut General Statutes § 49-35b sets forth the burden of proof at a hearing to discharge a mechanic's lien. At the hearing held on the application, the lienor shall first be required to establish that there is probable cause to sustain the validity of his lien. Any person entitled to notice may be heard. Once probable cause has been found, the burden shifts to the moving party to prove by *clear and convincing evidence* that the validity of the lien should not be sustained, or the amount of the lien is excessive and should be reduced."

"The court has the power to deny the application, order the lien discharged, reduce the amount of the lien, or reduce the amount of the lien conditioned upon the posting of bond with surety." (Emphasis in original.)

- Grade A Market, Inc. v. Surplus Contractors, LLC, Superior • Court, Judicial District of Stamford-Norwalk at Stamford, No. CV14-6023490-S (May 26, 2015) (60 Conn. L. Rptr. 415) (2015 WL 3798047). "... the term "owner" in § 49-35a will not be construed more broadly than its commonly understood meaning. A lessee of real property is not an owner of the property for purposes of the mechanic's lien statute, and, therefore, it does not have standing to prosecute an application to discharge a mechanic's lien pursuant to § 49-35a."
- Northland Two Pillars, LLC v. Harry Grodsky & Co., Inc., 133 Conn. App. 226, 232, 35 A.3d 333 (2012). "General Statutes § 49-35a provides a procedure for seeking the discharge or the reduction of a mechanic's lien. General Statutes § 49-35b(b)(3) authorizes a court to reduce the amount of a mechanic's lien if the amount is found to be excessive by clear and convincing evidence. General Statutes § 49-35c provides that any such order entered pursuant to § 49-35b(b) shall be deemed a final judgment for purposes of appeal. There also is a statutory mechanism for discharging or reducing the amount of the lien by posting a bond. See General Statutes § 49-35b(b)(4)."
- FCM Group., Inc. v. Miller, 300 Conn. 774, 816-17, 17 A.3d • 40 (2011). "...we briefly address the plaintiff and Mercede's claim, raised in their cross appeal, that the attorney trial 7 I. We ed to ny t also effrey (c) as a ien as

	referee improperly determined that the \$343,351.47
	mechanic's lien securing those damages was invalid.
	reject this claim. Because the plaintiff was not entitle
	recover delay damages, it necessarily follows that an
	mechanic's lien securing those damages is invalid. It
	follows that the trial court properly concluded that Je
	Miller is entitled to \$5000 in damages under § 49-8(
	result of the plaintiff's failure to release the invalid li
	Jeffrey Miller had requested."
	· ·
•	Mechanics' Lien

WEST KEY NUMBERS:

IX. Release, #236 X. Payment or Satisfaction, 237-244

ENCYCLOPEDIAS: • 53 Am Jur 2d Mechanics' Liens, Thomson West, 2017, with 2023 supplement (also available on Westlaw).

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

Online databases are available for in-library use. Remote access is not available.

<u>TEXTS &</u> TREATISES:

Each of our law libraries own the Connecticut treatises cited. You can contact us or visit our catalog to determine which of our law libraries own the other treatises cited or to search for more treatises.

References to online databases refer to in-library use of these databases. Remote access is not available. §§ 301-310. Extinguishment, discharge, payment or satisfaction

§§ 301-304. In general

§§ 305-306. On giving bond or other undertaking

§§ 307-308. Payment

§§ 309-310. Payment procedure

- 56 *CJS* **Mechanics' Lien**, Thomson West, 2018, with 2023 supplement (Also available on Westlaw). §§ 276-341. Waiver, discharge, release, and satisfaction
- 43 A.L.R. 5th 519, Discharge of Mortgage and Taking Back of New Mortgage as Affecting Lien Intervening Between Old and New Mortgages, by Carol Vento, 1996 (also available on Westlaw).
- 13 Connecticut Practice Series, *Connecticut Construction Law*, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw).

Chapter 6. Mechanic's liens

- § 6:9. Reduction or discharge of liens
- § 6:11. Discharge of invalid lien
- 2 *Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure*, 13th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2023.

Chapter 16. Mechanic's Liens

§ 16-9. Reduction or discharge of a mechanic's lien

- § 16-9:1. Venue and service
- § 16-9:2. Appeals
- § 16-9:3. Appeal by lienor
- § 16-9:4. The size of the bond
- § 16-9:5. Effect on a foreclosure
- § 16-9:6. Appeal by owner
- *Connecticut Appellate Practice and Procedure*, 7th ed., by Hon. Eliot D. Prescott, Connecticut Law Tribune, 2021.
 - Chapter 3-1. Final judgment rule

§ 3-1:5.2. Analogous remedies

Chapter 4-2. Time to appeal

§ 4-2:3.3. Mechanic's liens

Chapter 4-4. Stay of execution § 4-4:1.2. Exceptions to automatic stay

• Connecticut Lawyer's Deskbook: A Reference Manual, 3rd ed., LawFirst Publishing, 2008.

Chapter 16: Mechanics' Liens

- How is a mechanic's lien discharged? (p. 392)
 - 1. Application for reduction or discharge of a mechanic's lien (p. 392)
 - a. Burden of proof at the hearing on the application to reduce or discharge the **mechanic's lien** (p. 392)

- b. Appeals
- 2. Invalidation of the mechanic's lien as a matter of law (p. 393)
- 3. Dissolution of a **mechanic's lien by substitution** of a surety bond (p. 393)

LAW REVIEWS:

•

Timothy W. Gordon, *Deciding Whether to Include Claims in Your Lien*, 37 <u>Construction Lawyer</u> 3 (2017).

Public access to law review databases is available on-site at each of our <u>law</u> <u>libraries.</u>

Figure 1: Application for Discharge or Reduction of Mechanic's Lien APPLICATION FOR DISCHARGE OF REDUCTION OF MECHANIC'S LIEN

To the Court of

The undersigned represents:

1. That is the owner of the real estate described in Schedule A attached hereto.

2. That the names and addresses of all other owners of record of such real estate are as follows:

3. That on or about, (date), (name of lienor) of (address of lienor) placed a mechanic's lien on such real estate and gave notice thereof.

4. That such lien is excessive.

5. That the applicant seeks an order for discharge (or reduction) of such lien.

Name of Applicant

By _____ Applicant's Attorney

ORDER

The above application having been presented to the court, it is hereby ordered, that a hearing be held thereon at a.m. and that the applicant give notice to the following persons: (Names and addresses of persons entitled to notice) of the pendency of said application and of the time when it will be heard by causing a true and attested copy of the application, and of this order to be served upon such persons by some proper officer or indifferent person on or before and that due return of such notice be made to this court.

Dated at this day of 20...

SUMMONS

To a state marshal of the county of, or either constable of the town of, in said county,

Greeting:

By authority of the state of Connecticut, you are hereby commanded to serve a true and attested copy of the above application and order upon, of by leaving the same in such person's hands or at such person's usual place of abode (or such other notice as ordered by the court) on or before

Hereof fail not but due service and return make.

Dated at this day of 20...

Commissioner of the Superior Court

Section 5: Waiver of a Mechanic's Lien

A Guide to Resources in the Law Library

SCOPE:	Bibliographic references related to release or waiver of a
	mechanic's lien in Connecticut

- "Construction contract" or "contract" includes: "any contract for the construction, renovation or rehabilitation in this state on or after October 1, 1999, including any improvements to real property that are associated with such construction, renovation or rehabilitation, or any subcontract for construction, renovation or rehabilitation between an owner and a contractor, or between a contractor and a subcontractor or subcontractors, or between a subcontractor and any other subcontractor." Conn. Gen. Stat. (2023) § <u>42-158i</u> (2). (Emphasis added.)
 - "Construction contract" or "contract" does not include: "(A) Any public works or other building contract entered into with this state, the United States, any other state, and any municipality or other political subdivision of this state or any other state, (B) a contract or project funded or insured by the United States Department of Housing and Urban Development, (C) a contract between an owner and a contractor for an amount of twenty-five thousand dollars or less or a subcontract which results from such a contract, or (D) a contract for a building intended for residential occupancy containing four or less units."(Emphasis added.)
 - When mechanic's lien waiver is void: "Any provision in a construction contract or any periodic lien waiver issued pursuant to a construction contract that purports to waive or release the right...to (1) claim a mechanic's lien, or (2) to make a claim against a payment bond, for services, labor or materials which have not yet been performed and paid for shall be void and of no effect." Conn. Gen. Stat. (2023) § 42-158/ (a). (Emphasis added.)

STATUTES:

You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website to confirm that you are using the most upto-date statutes. •

Conn. Gen. Stat. (2023) § <u>42-158/</u>. Clauses waiving right to claim mechanic's lien or claim against a payment bond void. § <u>42-158i</u>(2). Definitions: "Construction contract" or "contract."

LEGI SLATI VE:

Office of Legislative Research reports summarize and analyze the law in effect on the date of

each report's publication. Current law may be different from what is discussed in the reports.

FORMS:

Official Judicial Branch forms are frequently updated. Please visit the <u>Official Court</u> <u>Webforms page</u> for the current forms.

CHECKLISTS:

CASES:

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. *Florida's Law on Waiver or Release of Mechanic's Liens*, Daniel Duffy, Principal Analyst, Connecticut General Assembly, Office of Legislative Research Report, <u>2004-R-</u> <u>0723</u> (September 17, 2004).

"You asked for a summary of Florida's law on waiver or release of mechanic's liens."

 RE: Release of Mechanic's Liens, George Coppolo, Chief Attorney, Connecticut General Assembly, Office of Legislative Research Report, 94-R-0035 (January 7, 1994).

"You asked us to review the enclosed letter from attorney Mark Leder concerning the release of mechanic's liens."

• 12A *Am Jur Legal Forms 2d* **Mechanics' Liens**, Thomson West, 2008, with 2023 supplement (also available on Westlaw).

§ 173:34. Waiver of lien

§ 173:35. Waiver of lien—Provision—Waiver only as to services rendered to specified date

• 12A *Am Jur Legal Forms 2d* **Mechanics' Liens**, Thomson West, 2008, with 2023 supplement (also available on Westlaw).

§ 173:31. Form drafting guide—Checklist—Matters to consider when drafting an instrument to waive a **mechanic's lien**

- <u>E and M Custom Homes, LLC v. Negron</u>, 140 Conn. App.
 92, 106, 59 A. 3d 262 (2013). "The plaintiff also claims that the court improperly interpreted the contractor's affidavit as a waiver of its rights to enforce the monies due under the first four stages of the construction budget. He argues that the affidavit did not limit the amount due under the lien, but merely attested to the fact that the amounts from the construction loan had been disbursed and that the subcontractors had been paid. We are not persuaded."
- <u>Milone & MacBroom, Inc. v. Winchester Estates</u>, Superior Court, Judicial District of Litchfield, No. CV10-6002884-S (Oct. 25, 2011) (52 Conn. L. Rptr. 793) (2011 WL 5458410). "The parties do not dispute that the lien waiver was signed. The issue before the court is whether the waiver is void pursuant to General Statutes § 42–158/ because, at the time the plaintiff signed the waiver, it had not been paid in full for work that it had already performed."

"General Statutes § 49–33 provides for the priority of the mechanic's lien as well as the right to waive the right to file a lien. General Statutes § 42–158/ provides restrictions
Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. upon the waiver of a mechanic's lien, but does not usurp the right of a lienor to elect to waive its right to file a mechanic's lien. Any other interpretation of General Statutes § 42–158/ would put it in conflict with General Statutes § 49–33, counter to the well-established precepts of statutory construction."

- <u>Capp Industries, Inc. v. Schoenberg</u>, 104 Conn. App. 101, 114, 932 A.2d 453 (2007). "Because the ten mechanic's liens were not clear and unambiguous, we will upset the court's findings as to the parties' intent only if it is clearly erroneous. A review of the record reveals that it is not. As mentioned previously, the court credited the testimony of the Cappialis that the waivers were intended to cover the portions of work for which they had received payment, rather than all of the work performed as of that time."
- <u>Bialowans v. Minor</u>, 209 Conn 212, 216-217, 550 A.2d 637 (1988). "...the signing of the lien waiver agreement by the plaintiffs enabled the defendants to gain access to additional financing for the construction. This additional funding, procured as a result of the plaintiffs' waiver of their lien rights, directly benefited the plaintiffs by enhancing their expectation of payment for work performed and materials supplied. It is precisely this increased expectation of payment which *Townsend* [101 Conn. 86 (1924)] holds is sufficient legal consideration to support a waiver of lien rights."
- Pero Building Co. v. Smith, 6 Conn. App. 180, 185, 504
 A.2d 524 (1986). "The right to a mechanic's lien is not a
 contractual right, rather it is a statutory right available to
 secure, as well as to enforce, payment for materials and
 labor rendered. Lampson Lumber Co. v. Rosadino, 141
 Conn. 193, 196, 104 A.2d 362 (1954); Purcell, Inc. v.
 <u>Libbey,</u> Supra [111 Conn. 132, 136, 149 A. 225 (1930).];
 <u>New Haven Orphan Asylum v. Haggerty Co.</u>, 108 Conn.
 232, 239, 142 A. 847 (1928). The effect of a waiver clause
 is to limit the avenues available to the plaintiff to collect for
 such material and labor. Once the statutory right to a
 mechanic's lien has been waived, there is no provision
 under the statute for the revival of that right upon the
 breach of a contract. See General Statutes 49-33 et seq."

<u>WEST KEY</u> <u>NUMBERS</u>

- Mechanics' Lien
 - V. Waiver of right to lien, #207-217

ENCYCLOPEDIAS: • 53 Am Jur 2d Mechanics' Liens, Thomson West, 2017, with

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

Online databases are available for in-library use. Remote access is not available.

<u>TEXTS &</u> <u>TREATISES:</u>

Each of our law libraries own the Connecticut treatises cited. You can <u>contact</u> us or visit our <u>catalog</u> to determine which of our law libraries own the other treatises cited or to search for more treatises.

References to online databases refer to in-library use of these databases. Remote access is not available.

- 2023 supplement (also available on Westlaw).
 - VII. Loss or extinguishment of lien
 - §§ 278-286. Waiver or release
 - § 278. Claimant's right to waive or release claim
 - § 279. Express waiver or release
 - § 280. -- Consideration
 - § 281. -- Construction and effect

§ 282. Waiver or release by contractor as waiving or releasing lien of subcontractor, materialman, or laborer

§ 283. Waiver or release of lien on portion of property as affecting lien on portion not released

- § 284. Implied waiver or release
- § 285. Effect of arbitration
- § 286. Effect of fraud
- 56 *CJS* **Mechanics'** Lien, Thomson West, 2018, with 2023 supplement (Also available on Westlaw).

§§ 276-341. Waiver, discharge, release, and satisfaction § 276-280. Waiver of right to lien. In general

- § 278. Persons entitled to set up waiver
- § 281-282. What constitutes a waiver
- § 283-286. Agreements

§ 287-293. - Taking or transfer of note, bill, draft or order

- § 292-293. Taking security
- 43 A.L.R. 5th 519, Discharge of Mortgage and Taking Back of New Mortgage as Affecting Lien Intervening Between Old and New Mortgages, by Carol Vento, 1996 (also available on Westlaw).
- 13 Connecticut Practice Series, *Connecticut Construction Law*, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw).
 - Chapter 1. Contract interpretation § 1:13. Waiver of lien rights Chapter 6. Mechanic's liens

§ 6:8. Lien waivers

- 2 Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure, 13th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2023. Chapter 16. Mechanic's Liens § 16-8. The lien waiver defense
- Connecticut Lawyer's Deskbook: A Reference Manual, 3rd ed., LawFirst Publishing, 2008. Chapter 16: Mechanics' Liens How is a mechanic's lien challenged? (p. 393)
 - 2. Mechanic's lien waivers (p. 394)

LAW REVIEWS:

Public access to law review databases is available on-site at each of our <u>law</u> <u>libraries.</u>

- Ellen L. Sostman and Duncan J. Forsyth, *Mechanics' Lien Waivers in Connecticut: The Myths and the Realities*, 63 <u>Connecticut Bar Journal</u> 195 (1989).
- Edwin L. Baum, Note, *Conditional Sale Contract—Waiver Of Mechanic's Lien—Election Of Remedies—Hartlin v. Cody*, 144 Conn. 499, 134 A.2d 245 (1957), 32 <u>Connecticut Bar</u> Journal 299 (1958).

Section 6 **Dissolution of a Mechanic's Lien** by Substitution of Bond

A Guide to Resources in the Law Library

SCOPE:	Bibliographic references related to dissolution of mechanic's
	lien by substitution of surety bond.

- DEFINITIONS:
 Purpose: "[Conn. Gen. Stats.] Section <u>49-37</u> is designed to facilitate the transfer of real property by creating a mechanism for removing a mechanic's lien from the land records and substituting for it a bond. <u>Henry F. Raab</u> <u>Connecticut, Inc. v. J. W. Fisher Co., 183 Conn. 108, 116, 438 A.2d 834 (1981), Six Carpenters, Inc. v. Beach</u> <u>Carpenters Corporation, 172 Conn. 1, 6, 372 A.2d 123</u> (1976). That purpose, however, does not preclude filing the bond as a way to challenge the lien." PDS Engineering <u>& Const. v. Double RS</u>, 42 Conn. Supp. 460, 464, 627 A.2d 959 (1992).
 - Any Person Interested: "An examination of the pertinent statutes in our statutory scheme indicates that the legislative intent in enacting 49-37(a) was to enable the owner or any person 'interested' in the property to obtain a dissolution of the mechanic's lien so long as the lienor's rights are not prejudiced in doing so."<u>Henry F. Raab</u> <u>Connecticut, Inc. v. J. W. Fisher Co.</u>, 183 Conn. 108, 115, 438 A.2d 834 (1981).
 - "The remedial character of the statutory scheme involving mechanics' liens is clear and the legislature, in furtherance of that remedial intent, has enacted § 49-37(a) to permit one who is not an owner directly to institute a proceeding to that end. The statutory procedure requires, however, that, on a dissolution, the lienor have a viable fund to look to for the payment of his claim. The requirement in § 49-37(a) that before such a dissolution is ordered, the judge must also be "satisfied that the applicant in good faith intends to contest such lien" contributes to assuring the attainment of the legislative purpose of this remedial legislation. We deem it fair to say that the legislature did not delineate what it meant in \S 49-37(a) by "any person interested therein," i.e., in the real estate liened because it chose not to do so, since not every case within the anticipated reach of its remedial object might fall within a more precise definition. The transferability of real estate and the removal of encumbrance in titles are also advanced by the statutory scheme of § $\frac{49-37(a)}{a}$ and the interpretation we give in this case. The contract between Turner and Raab, Fisher's lien and the safeguards and objects of this legislation furnish a firm foundation for finding that Raab is a person "interested" in the real estate

under this statute." <u>Henry F. Raab Connecticut, Inc. v.</u> J.W. Fisher Co., 183 Conn. 108, 116, 438 A.2d 834 (1981).

STATUTES:

You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website.

FORMS:

Conn. Gen. Stat. (2023) <u>§ 49-37</u>. Dissolution or mechanic's lien by substitution of bond. Joinder of actions on claim and bond.

- Library of Connecticut Civil Complaints for Business Litigation Diane W. Whitney et al., Connecticut Law Tribune, 2010. Volume I: Construction Litigation Form 4-012 : Release of mechanic's lien upon substitution of bond
- 3 Connecticut Practice Series, Connecticut Civil Practice Forms, 4th ed., by Joel M. Kaye et al., Thomson West, 2004, with 2022 supplement (also available on Westlaw). Form 704.30 Dissolution of mechanic's lien on substitution of bond
- 1A Connecticut Legal Forms, by Douglass B. Wright, Atlantic Law Book Co., 1983.

§ 901.6. Application for dissolution of mechanic's lien by substitution of bond Order for notice and hearing Order

Bond

Certification into court

• 12A *Am Jur Legal Forms 2d* Mechan**ics' Liens**, Thomson West, 2008, with 2023 supplement (also available on Westlaw).

§ 173:40. Bond to discharge existing lien

CASES:

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. Marco Contractors, Inc. v. LC General Contractor, LLC, Superior Court, Judicial District of Hartford at Hartford, No. CV186092859S (Aug. 17, 2018) (2018 WL 4309025). "The court first noted the legislative intent expressed by §49-37 was to enable 'the owner or any person "interested" in the property to obtain a dissolution of the mechanic's lien so long as the lienor's rights are not prejudiced in doing so.' <u>Henry F. Raab Connecticut, Inc. v. J.W. Fisher Co.</u>, supra, 183 Conn. 115. It then determined the dictionary definition of the word 'interested' to mean "having a share or concern in some affair or project: liable to be affected or prejudiced." Webster, Third New International Dictionary.' Id., 116. LC seizes upon the court's reasoning that '[b]y virtue of Turner's withholding monies due Raab because of Fisher's lien, Raab has a direct and present interest in the land, i.e., to endeavor to obtain the dissolution of the Fisher lien and substitute a sufficient surety bond.' Id."

- Monteiro's Masonry & Const., LLC v. Axis Point Cross <u>Highway, LLC</u>, Superior Court, Judicial District of Fairfield, No. CV08-5016026-S (Oct. 27, 2011) (2011 WL 5531377).
 "...the defendant applied to Liberty Mutual for a surety bond with which to release the plaintiff's mechanic's liens. Liberty Mutual approved the application and granted the defendant a surety bond. On November 21, 2007, the defendant filed an application in Stamford Superior Court to substitute a bond for the mechanic's lien, pursuant to General Statutes § 49–37. On February 11, 2008, the court, by agreement of the parties, ordered the plaintiff's mechanic's lien dissolved. A bond, with the defendant as principal and Liberty Mutual as surety, in the amount of \$102,975 was substituted for the plaintiff's lien."
- <u>River Dock & Pile, Inc. v. Ins. Co., North America</u>, 57 Conn. App. 227, 232, 747 A.2d 1060 (2000). **"The bond** voluntarily furnished by the defendant must be treated the same as if the bond had been furnished pursuant to a court order in accordance with § 49-37(a)."
- Six Carpenters, Inc. v. Beach Carpenters Corporation, 172 • Conn. 1, 6, 372 A.2d 123 (1976). "A reading of the pertinent statutes reveals that the legislative intent in enacting both [P.A. 75-48 Section] 8(a) and its predecessor [Conn. Gen. Stat. Section] 49-37 was to enable the owner or other person having an interest in the property to obtain release of the mechanic's lien so long as the lienor's rights are not thereby prejudiced. The lienor's rights are considered adequately protected if the landowner demonstrates a good-faith intention to contest the lien and substitutes a bond with surety in its place. Thus, while the statutory provisions are designed to facilitate the transfer of the property by dissolution of the lien, they are also intended to ensure the continued existence of assets out of which the lienor may satisfy his claim if he should later prevail and obtain a judgment on the merits of the mechanic's lien."

WEST KEY NUMBERS:

Mechanics' Lien

IV.Bond or deposit to prevent or discharge lien, #218-229

ENCYCLOPEDIAS: • 53 *Am Jur 2d* **Mechanics' Liens**, Thomson West, 2017, with 2023 supplement (also available on Westlaw).

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

Online databases are available for in-library use. Remote access is not available.

<u>TEXTS &</u> TREATISES:

You can <u>contact</u> us or visit our <u>catalog</u> to determine which of our law libraries own the treatises cited.

References to online databases refer to in-library use of these databases. \$\$ 301-310. Extinguishment, discharge, payment or satisfaction

§§ 305 - 306. On giving bond or other undertaking

56 *CJS* **Mechanics' Lien**, Thomson West, 2018, with 2023 supplement (Also available on Westlaw).

§§ 298-302. Bond or deposit to prevent or discharge lien

- § 298. Bond or undertaking in general
- § 299. Deposit in court in general
- § 300. Who may give security; time
- § 301. Effect of bond or deposit
- § 302. Liability on bond
- § 303-305. Form, requisites, and validity of bond
- § 306-309. Action on bond
- 13 Connecticut Practice Series, *Connecticut Construction Law*, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw).

Chapter 6. Mechanic's Liens

§ 6:10. Dissolution of lien by bond substitution

• 2 Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure, 13th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2023. Chapter 16. Mechanic's Liens

S 1(10 Dissolution of machanic's

- § 16-10. Dissolution of mechanic's lien by substitution of bond
 - § 16-10:1. Qualified applicant
 - § 16-10:2. Venue
 - § 16-10:3. Hearing
 - § 16-10:4. Appeal
 - § 16-10:5. Suit on bond
 - § 16-10:5.1. Effect on foreclosure
 - § 16-10:6. Other post-bond litigation
- Connecticut Lawyer's Deskbook: A Reference Manual, 3rd ed., LawFirst Publishing, 2008.

Chapter 16: Mechanics' Liens

. Basic principles

How is a mechanic's lien discharged? (p. 392) 3. Dissolution of a mechanic's lien by

substitution of a surety bond (p. 393)

Section 7: Foreclosure of Mechanic's Lien

A Guide to Resources in the Law Library

<u>SCOPE:</u>	Bibliographic references related to foreclosure of a mechanic's lien
<u>TREATED</u> ELSEWHERE:	 <u>Prejudgment Proceedings in Connecticut Mortgage</u> <u>Foreclosures</u> (Research Guide) <u>Foreclosure of Condominium Liens in Connecticut</u> (Research Guide)
<u>DEFINITIONS:</u>	 One-Year Period: "Section 49-39 is clear and unambiguous that a mechanics lien may remain in effect for as long as one year <i>after</i> it has been perfected. There can be no doubt that the one-year period begins to run the day following the day on which the lien is perfected." <u>Curran v. Samos</u>, Superior Court, Judicial District of Litchfield, No. CV 03 0091852S (Jan. 27, 2004), 36 Conn. L. Rptr. 409, 410 (March 15, 2004), 2004 WL 303895.
	• Time Limitation: "The plain intent of this statute [Conn. Gen. Stats. § 49-39] is to clear the title to the premises unless an action of foreclosure is brought within the time limited for the continuance of the lien." <u>Persky v. Puglisi</u> , 101 Conn. 658, 666, 127 A. 351 (1925).
	• Lis Pendens: "the purpose of the lis pendens recordation requirement in Connecticut's mechanic's lien statute is not only to give constructive notice of the pending action but also to set a time limit for the foreclosure of a mechanic's lien" H.G. Bass Associates v. Ethan Allen, Inc., 26 Conn. App. 426, 432, 601 A.2d 1040 (1992).
STATUTES: You can visit your local law library or search the most recent <u>statutes</u> and <u>public acts</u> on the Connecticut General Assembly website to confirm that you are using the most up- to-date statutes.	 Conn. Gen. Stat. (2023) § 49-33(b). "The claim is a lien on the land, building and appurtenances or lot or in the event that the materials were furnished or services were rendered in the site development or subdivision of any plot of land, then on the plot of land and the claim takes precedence over any other encumbrance originating after the commencement of the services, or the furnishing of any such materials, subject to apportionment as provided in section 49-36." § 49-33(i). "Any mechanic's lien may be foreclosed in the same manner as a mortgage." § 49-39. Time limitation of mechanic's lien. Action to foreclose privileged. § 49-47a. Form of mechanic's lien foreclosure certificates. § 47-258(b). 'Superpriority' lien for assessments and other sums owed to condominium association. § 52-325. Notice of lis pendens.

COURT RULES:

Amendments to the Practice Book (Court Rules) are published in the <u>Connecticut</u> <u>Law Journal</u> and posted <u>online</u>.

FORMS:

Official Judicial Branch forms are frequently updated. Please visit the <u>Official Court</u> <u>Webforms page</u> for the current forms. § <u>52-249</u>. Costs and attorney's fees in actions for foreclosure and substitution of bond. § <u>52-249a</u>. Costs and attorney's fees in action upon a bond substituted for a mechanic's lien.

Foreclosure Complaint; Pleading Encumbrances "The complaint in all actions seeking the foreclosure of a mortgage or other lien upon real estate shall set forth, in addition to the other essentials of such complaint: All encumbrances of record upon the property both prior and subsequent to the encumbrance sought to be foreclosed, the dates of such encumbrances, the amount of each and the date when such encumbrance was recorded; if such encumbrance be a mechanic's lien, the date of commencing to perform services or furnish materials as therein recited; and if such encumbrance be a judgment lien, whether said judgment lien contains a reference to the previous attachment of the same premises in the same action, as provided by General Statutes § 52-380a." CT Practice Book 10-69 (2023)

- Library of Connecticut Collection Law Forms, by Robert M. Singer, Connecticut Law Tribune, 2015.
 Form 7-001: Foreclosure of mechanic's lien
- Library of Connecticut Civil Complaints for Business Litigation Diane W. Whitney et al., Connecticut Law Tribune, 2010. Volume I: Construction Litigation Form 4-003: Notice of lis pendens
- 3A Connecticut Practice Series, Connecticut Civil Practice Forms, 4th ed., by Joel M. Kaye et al., Thomson West, 2004, with 2022 supplement (also available on Westlaw). Form S-149: Complaint for foreclosure of mechanic's lien by contractor Form S-150: Complaint for foreclosure of mechanic's lien by materialman or subcontractor
- Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure, 11th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2020. Form 6-030. Complaint for foreclosure of mechanic's lien
- Connecticut Lawyers' Deskbook: Forms Index, 2d ed., by Dennis P. Anderson, Denis R. Caron & Geoffrey K. Milne, Connecticut Bar Association, 2000.
 Chapter XIII: Mechanics' Liens
 Complaint For Foreclosure of Mechanic's Lien By

Complaint For Foreclosure of Mechanic's Lien By Contractor

CASES:

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases.

- 1A Connecticut Legal Forms, by Douglass B. Wright, Atlantic Law Book Co., 1983. § 901.7. Foreclosure of Mechanic's lien: Complaint
- <u>Autobuilders Gen. Contr. Servs. v. NEWS, LLC</u>, Superior Court, Judicial District of Hartford At Hartford, No. HHD-CV-18-6100213-S & HHD-CV-19-6105280-S (January 31, 2023) (2023 WL 1794216). "The court awarded NEWS damages totaling \$353,105.87 against Autobuilders and Arch. The court further found that NEWS was entitled to interest pursuant to General Statutes §§ 37-3a and 42-158j, and reasonable attorneys' fees and costs pursuant to General Statutes §§ 42-158j and 52-249 and the subject sitework subcontract."
- James E. Burns, Jr. v. David Y. Adler, et al.-, 325 Conn 14, 155 A.3d 1223 (2017). "...the plaintiff brought this action against the defendant seeking foreclosure of his mechanic's lien, and claiming damages for breach of contract and unjust enrichment. The defendant raised the special defense that, because the plaintiff had failed to comply with § 20-429 [Required contract provisions of the Home Improvement Act], the agreement was unenforceable." (p. 26-27)
 - "...the very purpose of this act is to place the burden on the contractor to provide written documentation, signed by both parties, for '[e]ach change in the terms and conditions of a contract...' General Statutes § 20-429(a) ('[e]ach change in the terms and conditions of a contract shall be in writing and shall be signed by the owner and contractor')...When a contractor fails to meet this burden and, as a result, a genuine, good faith dispute about the authorized scope of the work or the contract price arises, the homeowner's refusal to pay the amounts claimed by the contractor is not in bad faith." (p. 36-37)
- Benedetto Izzo v, Richard Quinn et al., 170 Conn. App. 631, 633, 155 A.3d 315 (2017). "...The plaintiff, acting under the name 'Benedetto Izzo dba New Haven Drywall,' filed a complaint with the Superior Court seeking to foreclose a mechanic's lien that had been filed against the defendant's property." "...the defendant filed an answer, three special defenses and a four count counterclaim. In the special defenses, the defendant alleged: (1) he had contracted with North Haven Drywall, LLC, to restore his fire damaged residence, Benedetto Izzo had acted as the general contractor on that job, and the work was not performed in a workmanlike manner; (2) the mechanic's lien was not filed timely; and (3) the plaintiff lacked standing to enforce the mechanic's lien because the defendant had contracted with North Haven Drywall, LLC, not with the plaintiff."

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases.

- Shane Butler et al. v. Charles O'Connor et al., Superior • Court, Judicial District of Stamford, No. FSTCV126014644S (July 5, 2017) (64 Conn. L. Rptr. 779) (2017 WL 3470499). "The notice of lis pendens was recorded within one year of the filing of F's mechanic's lien. In rejecting the plaintiff's position the court reviewed the nature and purpose of a lis pendens. The purpose of the lis pendens in the context of a mechanic's lien is the same as it is in any other situation in which real property is the subject of litigation; namely, it is intended to give constructive notice to persons seeking to purchase or encumber property after the recording of a lien or the commencement of a foreclosure suit...O'Connor relies on the following underscored statement of the court to support his claim that as long as the Butlers had actual knowledge of the mechanic's lien, which from the evidence they clearly did, such knowledge is a substitute for constructive notice which is created by the recording of the lis pendens...Since the filing of notice of lis pendens is not a condition precedent to a right of action; Meyer, Kasindorf & Mancino v. Lafayette Bank & Trust Co., supra, 34 Conn. Sup. 87; and since the plaintiff, or its predecessor, had actual notice of the action for foreclosure of the mechanic's lien, Fairfield's lien is not invalid to this plaintiff. The plaintiff suffered no prejudice.' Id. at 704."
- Astoria Federal Mortgage Corporation v. Genesis Limited Partnership et al., 167 Conn. App. 183, 204, 143 A.3d 1121 (2016). "Specifically, on the basis of our reading of the plain language of §§ 47-10, 49-17, and 49-33(i), we conclude that the failure of an assignee of a mechanic's lien to record an otherwise valid assignment of the lien does not deprive the assignee of the lien of standing to commence a foreclosure action."
- Astoria Federal Mortgage Corporation v. Genesis Holdings, LLC, et al., 159 Conn. App. 102, 112, 122 A. 3d 694 (2015). "The trial court properly concluded that the doctrine of collateral estoppel was not applicable in the present case. The prior action involved a different debtor, different property, and different encumbrances. Moreover, the court in the prior case never directly addressed the issues raised in this case concerning the scope of the relief from stay granted by the Bankruptcy Court."
- Ed Lally and Associates, Inc. v. DSBNC, LLC, 145 Conn. App. 718, 78 A. 3d 148 (2013). "On appeal, the defendants claim that the trial court (1) did not have subject matter jurisdiction to find in favor of the plaintiff on the foreclosure of its mechanic's liens because the mechanic's liens were invalid..." (p. 720)

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> <u>local law librarian</u> to learn about the tools available to you to update cases. "The appropriate avenue to challenge the validity of a mechanic's lien, however, is by way of a special defense...In the present case, there were no special defenses properly before the court when the trial commenced...Thus, the trial court did not consider whether the mechanic's liens were valid." (p. 728-729)

- <u>E and M Custom Homes, LLC v. Negron</u>, 140 Conn. App. 92, 109, 59 A. 3d 262 (2013). "The plaintiff next claims that allowing an unregistered home improvement contractor to offer expert witness testimony was an abuse of discretion. It maintains that because the witness engaged in the home improvement business in Connecticut without registering with the department of consumer protection, a violation of Connecticut law, he was not qualified to be an expert witness. We disagree."
- <u>Clem Martone Construction, LLC v. DePino</u>, 145 Conn. App. 316, 327, 77 A. 3d 760 (2013). "In a foreclosure of a mechanic's lien, a contractor is entitled to the value of the materials that it furnished or the services that it rendered in the construction of a project... The reasonable value of the materials and services can be proven by: (1) providing evidence that the contract price represents the value of the contractor's materials and services... (2) demonstrating the contractor substantially performed such that the contract is the proper valuation of its materials and services... or (3) submitting evidence of the cost to complete the work (Citations omitted; emphasis added; internal quotation marks omitted.) *E & M Custom Homes, LLC v. Negron*, 140 Conn. App. 92, 104-105, 59 A. 3d 262, cert. granted on other grounds, 308 Conn. 912, 61 A. 3d 1099 (2013)."
- <u>Construction Ken-nection, Inc. v. Cipriano</u>, 136 Conn. App. 546, 550-551, 45 A. 3d 663 (2012). "... the plaintiff commenced an action to foreclose the mechanic's lien, and the court rendered judgment in favor of the Ciprianos... The [Ciprianos] raised various special defenses to the December 14, 2006 mechanic's lien, which include: the untimely filing of the lien; that certain work and billings were beyond the written or oral agreements; and that [they] did not consent to some of the work."
- ProBuild East, LLC v. Poffenberger, 136 Conn. App. 184, 191-192, 45 A. 3d 654, (2012). "The statutory limitations on lienable funds as applicable to subcontractors are set forth in General Statutes §§ 49-33 and 49-36. A subcontractor is subrogated to the rights of the general contractor through whom he claims, such that a subcontractor only can enforce a mechanic's lien to the extent that there is unpaid contract debt owed to the general contractor by the owner."

Once you have identified useful cases, it is important to update the cases before you rely on them. Updating case law means checking to see if the cases are still good law. You can <u>contact your</u> local law librarian to learn about the tools available to you to update cases. •

<u>Gallicchio Enterprises, LLC v. PRA Wallingford, LLC,</u> Superior Court, Judicial District of Hartford, No. HHDX04-CV10-6024812-S (Nov. 8, 2011) (52 Conn. L. Rptr. 867) **(2011 WL 5925096). "Consequently, the more reasonable** interpretation of § 49–39, in light of § 49–30, is that all the lienor is required to do within one year of perfecting its lien is to commence the foreclosure action. If it does so, it can add additional defendants during the proceedings. If it does not discover an additional party until after the foreclosure is complete, it can then bring an omitted party action under § 49–30. However, if the lienor fails to commence its foreclosure action within one year, it can bring neither a foreclosure under § 49–39, nor an omitted party action under § 49–30, because the latter is premised **on a judgment having entered in the former."**

- <u>Haynes Material Company v. Louise Clement et al.</u>, Superior Court, Judicial District of Litchfield, No. CV10-6002985 (Feb. 3, 2011) (51 Conn. L. Rptr. 373, 374) (2011 WL 782573). "With respect to late service of the complaint, the plaintiff argues that it is entitled to take advantage of the 30-day grace period provided by C.G.S.§ 52-593a... § 52-593a does not apply to actions to foreclose mechanic's liens because of the difference between a statute of limitations which merely cuts off a remedy which exists at common law, and a statute such as § 49-39 which creates a remedy for a specific period of time."
- <u>Connecticut Carpenters Benefit v. Burkard Hotel</u>, 83 Conn. App. 352, 353, 849 A.2d 922 (2004). "The principal issue in this appeal is whether an employee benefits fund has standing to bring an action to foreclose a mechanic's lien, pursuant to General Statutes § 49-33 on behalf of and for the benefit of the employees who performed the work." (p. 353)

"Accordingly, we find that the plaintiff, similarly situated to the trustees in <u>Carter</u>, stands in the carpenters' shoes and, as such, is entitled to enforce the carpenters' rights on their behalf. The plaintiff has standing under § 49-33." (p. 359)

PDS Engineering & Construction v. Double RS, 42 Conn.
 Sup. 460, 472 (1992), 627 A.2d 959. "Mechanic's liens are a means of securing payment to workers and suppliers who contribute services and material to improve property...That protection is meant to be readily available and simply obtained. To require that a mechanic's lienor notify all other lienors of the filing of its certificate would necessitate a title search, the hiring of an attorney, and thus vitiate the efficacy of the mechanic's lien law.... In the present case, given that: (1) United Bank had constructive notice of PDS' lien; (2) the impact of the filing of the certificate on United

Bank was relatively small (even if not de minimus); (3) if the certificate prevented United Bank from assigning its mortgage, it could promptly obtain a hearing under §§ 49-37 and 49-51; (4) requiring United Bank to be served with the lien certificate would diminish the utility of the **mechanic's lien protection, this court concludes that failing** to serve United Bank with notice of the certificate filing **does not constitute a denial of due process.**"

• <u>Gruss v. Miskinis</u>, 130 Conn. 367, 369, 34 A.2d 600(1943). "If the warranty and mortgage deeds had been recorded before the plaintiff commenced to furnish materials, the mortgage would have had priority over the mechanic's lien."

WEST KEY NUMBERS:

ENCYCLOPEDIAS: •

Encyclopedias and ALRs are available in print at some law library locations and accessible online at all law library locations.

Online databases are available for in-library use. Remote access is not available.

- Mechanics' lien XI. Enforcement, # 245-310
 - 53 Am Jur 2d Mechanics' Liens, Thomson West, 2017, with 2023 supplement (also available on Westlaw). §§ 317-403. Enforcement of Liens
 - §§ 317-325. Nature and form of enforcement proceedings
 §§ 326-334. Time to sue
 §§ 326-334. Time to sue
 §§ 335-352. Parties
 §§ 353-354. Process
 §§ 355-360. Notices and conditions precedent to enforcement
 §§ 361-371. Pleadings and motions
 §§ 372-380. Evidence
 §§ 384-392. Judgment or decree
 §§ 393-397. Proceedings subsequent to judgment
 §§ 398-403. Costs and attorney's fees
- 56 CJS Mechanics' Lien, Thomson West, 2018, with 2023 supplement (Also available on Westlaw). §§ 367-545. Enforcement
- 43 A.L.R. 5th 519, Discharge of Mortgage and Taking Back of New Mortgage as Affecting Lien Intervening Between Old and New Mortgages, by Carol Vento, 1996 (also available on Westlaw).

<u>TEXTS &</u> <u>TREATISES:</u>

Each of our law libraries own the Connecticut treatises cited. You can <u>contact</u> us or visit our <u>catalog</u> to determine which of our law libraries own the other treatises cited or to search for more treatises.

References to online databases refer to in-library use of these databases. Remote access is not available. • 13 Connecticut Practice Series, *Connecticut Construction Law*, by David E. Rosengren, Thomson West, 2005, with 2023 supplement (also available on Westlaw).

Chapter 6. Mechanic's liens § 6:7. Foreclosure

3 6: 7. Foreciosure

- 2 Connecticut Foreclosures: An Attorney's Manual of Practice and Procedure, 13th ed., by Denis R. Caron and Geoffrey K. Milne, Connecticut Law Tribune, 2023. Chapter 16. Mechanic's Liens
 - § 16-2. The notice of *lis pendens*
 - § 16-2: 1. Statutory framework
 - § 16-2:2.2. Tactical considerations
 - § 16-4. The complaint
 - § 16-7. The trial
- *Connecticut Lawyer's Deskbook: A Reference Manual,* 3rd ed., LawFirst Publishing, 2008.
 - Chapter 16: *Mechanics' Liens* Basic principles What is the priority of a mechanic's lien in
 - foreclosure? (p. 395)
- 1 Condominium Law and Practice: Forms, by Patrick J. Rohan and Melvin A. Reskin, LexisNexis, 2015. Chapter 45. Administration of condominium associations § 45.13. Lienholders' priorities [3]. Mechanic's liens
- A Practical Guide to Residential Real Estate Transactions and Foreclosures in Connecticut, 2d ed., by Christian R. Hoheb, editor, MCLE Press, 2021.

§ 4.9.5 Statutes of Limitation Affecting Interests In Real Estate - Mechanic's Lien

LAW REVIEWS:

Public access to law review databases is available on-site at each of our <u>law</u> <u>libraries.</u>

- Denis R. Caron, *Bass Closes Door on Mechanic's Lien/Lis Pendens Debate - or Does it*, Connecticut Lawyer, Vol. 2, Issue 7 (April 1992), pp. 6-7.
- Elizabeth C. Yen, *Constitutionality of Mechanic's Liens Questioned*, Connecticut Lawyer, Vol. 2, Issue 5 (February 1992), pp. 6-7.

Glossary of Terms

Automatic Extinguishment of **Mechanic's Lien**: "Any mechanic's lien which has expired because of failure to comply with the time limitations of section 49-39 is automatically extinguished and the continued existence of the lien unreleased of record in no way affects the record owner's title nor the marketability of the same." Conn. Gen. Stat. <u>§ 49-40a</u> (2023).

Certificate of **Mechanic's Lien**: "A mechanic's lien is not valid unless the person performing the services or furnishing the materials (1) within ninety days after he has ceased to do so, lodges with the town clerk of the town in which the building, lot or plot of land is situated a certificate in writing, which shall be recorded by the town clerk with deeds of land, (A) describing the premises, the amount claimed as a lien thereon, the name or names of the person against whom the lien is being filed and the date of the commencement of the performance of services or furnishing of materials, (B) stating that the amount claimed is justly due, as nearly as the same can be ascertained, and (C) subscribed and sworn to by the claimant, and (2) not later than thirty days after lodging the certificate, serves a true and attested copy of the certificate upon the owner of the building, lot or plot of land in the same manner as is provided for the service of the notice in section 49-35." Conn. Gen. Stat. § 49-34 (2023).

Claim: "The claim is a lien on the land, building and appurtenances or lot or in the event that the materials were furnished or services were rendered in the site development or subdivision of any plot of land, then on the plot of land and the claim takes precedence over any other encumbrance originating after the commencement of the services, or the furnishing of any such materials, subject to apportionment as provided in section 49-36." Conn. Gen. Stat. § 49-33(b) (2023).

Discharge or Reduction: "(a) Whenever one or more mechanics' liens are placed upon any real estate pursuant to sections <u>49-33</u>, <u>49-34</u>, <u>49-35</u> and <u>49-38</u>, the owner of the real estate, if no action to foreclose the lien is then pending before any court, may make application, together with a proposed order and summons, to the superior court for the judicial district in which the lien may be foreclosed under the provisions of section 51-345, or to any judge thereof, that a hearing or hearings be held to determine whether the lien or liens should be discharged or reduced. The court or judge shall thereupon order reasonable notice of the application to be given to the lienor or lienors named therein and, if the application is not made by all owners of the real estate as may appear of record, shall order reasonable notice of the application to be given to all other such owners, and shall set a date or dates for the hearing or hearings to be held thereon. If the lienor or lienors or any owner entitled to notice is not a resident of this state, the notice shall be given by personal service, registered or certified mail, publication or such other method as the court or judge shall direct. At least four days' notice shall be given to the lienor, lienors or owners entitled to notice prior to the date of the hearing." Conn. Gen. Stat. § 49-35a (2023).

Foreclosure: "Any mechanic's lien may be foreclosed in the same manner as a mortgage." Conn. Gen. Stat. <u>§ 49-33</u>(i) (2023).

Leasehold Interest: **"If any person has a claim for more than ten dollars for** materials furnished or services rendered in the construction, raising, removal or repairs of any real property, and the claim is by virtue of an agreement with or by consent of the lessee of such real property or of some person having authority from or rightfully acting for such lessee in procuring the materials or labor, then the leasehold interest in such real property is subject to the payment of the claim. This subsection shall not be construed to limit any of the rights or remedies available to such person under subsection (a) of this section." Conn. Gen. Stat. $\frac{§ 49-33}{(h)}$ (2023).

Mechanic's Lien: "If any person has a claim for more than ten dollars for materials furnished or services rendered in the construction, raising, removal or repairs of any building or any of its appurtenances or in the improvement of any lot or in the site development or subdivision of any plot of land, and the claim is by virtue of an agreement with or by consent of the owner of the land upon which the building is being erected or has been erected or has been moved, or by consent of the owner of the lot of land being improved or by consent of the owner of the plot of land being improved or subdivided, or of some person having authority from or rightfully acting for the owner in procuring the labor or materials, the building, with the land on which it stands or the lot or in the event that the materials were furnished or services were rendered in the site development or subdivision of any plot of land, then the plot of **land, is subject to the payment of the claim."** Conn. Gen. Stat. <u>§ 49-33</u>(a) (2023).

Notice Of Intent: "(a) No person other than the original contractor for the construction, raising, removal or repairing of the building, or the development of any lot, or the site development or subdivision of any plot of land or a subcontractor whose contract with the original contractor is in writing and has been assented to in writing by the other party to the original contract, is entitled to claim any such mechanic's lien, unless, after commencing, and not later than ninety days after ceasing, to furnish materials or render services for such construction, raising, removal or repairing, such person gives written notice to the owner of the building, lot or plot of land and to the original contractor that he or she has furnished or commenced to furnish materials, or rendered or commenced to render services, and intends to claim a lien therefor on the building, lot or plot of land; provided an original contractor shall not be entitled to such notice, unless, not later than fifteen days after commencing the construction, raising, removal or repairing of the building, or the development of any lot, or the site development or subdivision of any plot of land, such original contractor lodges with the town clerk of the town in which the building, lot or plot of land is situated an affidavit in writing, which shall be recorded by the town clerk with deeds of land, (1) stating the name under which such original contractor conducts business, (2) stating the original contractor's business address, and (3) describing the building, lot or plot of land. The right of any person to claim a lien under this section shall not be affected by the failure of such affidavit to conform to the requirements of this section. The notice shall be served upon the owner or original contractor, if such owner or original contractor resides in the same town in which the building is being erected, raised, removed or repaired or the lot is being improved, or the plot of land is being improved or subdivided, by any indifferent person, state marshal or other proper officer, by leaving with such owner or original contractor or at such owner's or the original contractor's usual place of abode a true and attested copy thereof. If the owner or original contractor does not reside in such town, but has a known agent therein, the notice may be so served upon the agent, otherwise it may be served by any indifferent person, state marshal or other proper officer, by mailing a true and attested copy of the notice by registered or certified mail to the owner or original contractor at the place where such owner or the original contractor resides. If such copy is returned unclaimed, notice to such owner or original contractor shall be given by publication in accordance with the provisions of section 1-2. When there are two or more owners, or two or more original contractors, the notice shall be so served on each owner and on each original contractor. The notice, with the return of the person who served it endorsed thereon, shall be returned to the original maker of the notice not later than thirty days after the filing of the certificate pursuant to section $\underline{49-34}$." Conn. Gen. Stat. $\underline{\$ 49-35}(a)$ (2023).

Priorities in Mechanic's Liens:

- "If any such liens exist in favor of two or more persons for materials furnished or services rendered in connection with the same construction, raising, removal or repairs of any building or any of its appurtenances, or in the improvement of any lot, or in the site development or subdivision of any plot of land, no one of those persons shall have any priority over another except as hereinafter provided." Conn. Gen. Stat. <u>§ 49-33</u>(c) (2023).
- "If any instrument constituting a valid encumbrance upon such land other than a mechanic's lien is filed for record while the building is being constructed, raised, removed or repaired, or the lot is being improved, or the plot of land is being improved or subdivided, all such mechanic's liens originating prior to the filing of that instrument for record take precedence over that encumbrance and no such mechanic's lien shall have priority over any other such mechanic's lien. That encumbrance and all such mechanic's liens shall take precedence over any mechanic's lien which originates for materials furnished or services rendered after the filing of that instrument for record, but no one of the mechanic's liens originating after the filing of that instrument for record has precedence over any such encumbrance, that lien shall be classed with and have no priority over liens originating subsequent to that encumbrance." Conn. Gen. Stat. <u>§ 49-33</u>(d) (2023).
- "If the warranty and mortgage deeds had been recorded before the plaintiff commenced to furnish materials, the mortgage would have had priority over the mechanic's lien." <u>Gruss v. Miskinis</u>, 130 Conn. 367, 369, 34 A.2d 600 (1943).
- "... the claim takes precedence over any other encumbrance originating after the commencement of the services, or the furnishing of any such materials, subject to apportionment as provided in section <u>49-36</u>." Conn. Gen. Stat. <u>§ 49-33</u>(b) (2023).

Privileged Action:

- An action to foreclose a mechanic's lien shall be privileged in respect to assignment for trial. Conn. Gen. Stat. <u>§ 49-33(b)</u> (2023).
- An action to foreclose a mechanic's lien shall be privileged in respect to assignment for trial. Conn. Gen. Stat. <u>§ 49-39</u> (2023).

Removal of Building: "In the case of the removal of any building, no such mechanic's lien shall take precedence over any encumbrance upon the land to which such building has been removed which accrued before the building was removed upon the land." Conn. Gen. Stat. \S 49-33(g) (2023).

Subcontractors:

• "A mechanic's lien shall not attach to any such building or its appurtenances or to the land on which the same stands or to any lot or to any plot of land, in favor of

any subcontractor to a greater extent in the whole than the amount which the owner has agreed to pay to any person through whom the subcontractor claims subject to the provisions of section $\underline{49-36}$." Conn. Gen. Stat. $\underline{\$ 49-33}$ (e) (2023).

- "Any such subcontractor shall be subrogated to the rights of the person through whom the subcontractor claims, except that the subcontractor shall have a mechanic's lien or right to claim a mechanic's lien in the event of any default by that person subject to the provisions of sections <u>49-34</u>, <u>49-35</u> and <u>49-36</u>, provided the total of such lien or liens shall not attach to any building or its appurtenances, or to the land on which the same stands or to any lot or to any plot of land, to a greater amount in the whole than the amount by which the contract price between the owner and the person through whom the subcontractor claims exceeds the reasonable cost, either estimated or actual, as the case may be, of satisfactory completion of the contract plus any damages resulting from such default for which that person might be held liable to the owner and all bona fide payments, as defined in section <u>49-36</u>, made by the owner before receiving notice of such lien or liens." Conn. Gen. Stat. <u>§ 49-33</u>(f) (2023).
- See Also: Notice of Intent, above.

Subrogation: **"Under Connecticut law, a subcontractor's right to enforce a** mechanic's lien against a property owner is based on the doctrine of subrogation The theory of subrogation allows the plaintiff to recover only to the extent the general contr**actor could recover from the defendants."** <u>W. G. Glenney Co. v. Bianco</u>, 27 Conn. App. 199, 201, 604 A.2d 1345 (1992).

Time Limitations on **Mechanic's Lien**: "A mechanic's lien shall not continue in force for a longer period than one year after the lien has been perfected, unless the party claiming the lien commences an action to foreclose it, by complaint, cross-complaint or counterclaim, and records a notice of lis pendens in evidence thereof on the land records of the town in which the lien is recorded within one year from the date the lien was recorded or within sixty days of any final disposition of an application made pursuant to section <u>49-35a</u>, including any appeal taken with respect thereto in accordance with section <u>49-35c</u>, whichever is later. Each such lien, after the expiration of the one-year period or sixty-day period, as the case may be, without action commenced and notice thereof filed as aforesaid, shall be invalid and discharged as a matter of law. An action to foreclose a mechanic's lien shall be privileged in respect to assignment for trial. With respect to any such lien which was validated in accordance with the provisions of section <u>49-37a</u>, the one-year period or sixty-day period, as the case may be, shall toll from the date of the validation." Conn. Gen. Stat. <u>§ 49-39</u> (2023).