



## RECIPROCAL JURISDICTION REINSURER (“RJR”) APPLICATION

### Application process for RJR:

Refer to guidance contained within Ohio Revised Code Section 3901.62 and Ohio Administrative Code Section 3901-3-16. See links below. The Ohio Department of Insurance (“the Department”) does not have an application fee.

<https://codes.ohio.gov/ohio-revised-code/section-3901.62>  
<https://codes.ohio.gov/ohio-administrative-code/rule-3901-3-16>

The Department accepts the NAIC listing of reciprocal jurisdictions as referenced in Ohio Revised Code 3901.62(E)(2)(a). See link below.

[https://content.naic.org/sites/default/files/committee\\_e\\_reinsurance\\_qualified\\_jurisdictions\\_list\\_2.pdf](https://content.naic.org/sites/default/files/committee_e_reinsurance_qualified_jurisdictions_list_2.pdf)

The Department will accept the Uniform Checklist for Reciprocal Jurisdiction Reinsurers as provided on the NAIC website. See link below.

Section I. contains the requirements for Lead State Applications. The Department may engage consultants to assist in review of applications using Ohio as the “lead state.” The company will need to update its application annually. Applicants will bear the cost of consultants engaged by the Department to review the initial application and annual updates. (Contact the Department if applicant has already obtained Certified Reinsurer status in Ohio using another U.S. accredited state as the “lead state.”)

Section II. contains the requirements for Passporting and begins on Page 4 of the Checklist.

#### NAIC Uniform Checklist

[https://content.naic.org/sites/default/files/inline-files/Uniform%20Checklist%20for%20Reciprocal%20Jurisdiction%20Reinsurers%206-9-2020\\_0%20%281%29.pdf](https://content.naic.org/sites/default/files/inline-files/Uniform%20Checklist%20for%20Reciprocal%20Jurisdiction%20Reinsurers%206-9-2020_0%20%281%29.pdf)

The company must complete **Ohio RJ-1** form. Use “Ohio” where the form has blanks for “Name of State.”

[https://codes.ohio.gov/assets/laws/administrative-code/pdfs/3901/0/3/3901-3-16\\_PH\\_FF\\_A\\_APP5\\_20210610\\_0843.pdf](https://codes.ohio.gov/assets/laws/administrative-code/pdfs/3901/0/3/3901-3-16_PH_FF_A_APP5_20210610_0843.pdf)

In addition, the company will appoint an Ohio Agent of Service of Process by completing UCAA Form 12. The form is found on the NAIC UCAA website. <https://content.naic.org/industry/ucaa>

This requirement to appoint an Ohio Agent for service of process is to meet the provisions of Ohio Revised Code 3901.62(F) which states,

“An assuming insurer shall file a written instrument appointing an attorney as its agent in this state upon whom all service of process may be served. Service of process upon this agent shall bring the assuming insurer within the jurisdiction of the courts of this state as if served upon an agent pursuant to section 3927.03 of the Revised Code.”

The requirement to appoint an Ohio Agent for service of process is in addition to appointing the Superintendent of Insurance as shown on RJ-1.

Ohio will not accept a passporting application until the company is approved by its lead state and is also accepted under the NAIC’s Reinsurance Financial Analysis Working Group process. Once a company is on Ohio’s list, it remains there as long as the company remains on the NAIC listing. There are no passporting renewal requirements and no renewal fee.

Send the application via email to [risk.assessment@insurance.ohio.gov](mailto:risk.assessment@insurance.ohio.gov)

### Frequently Asked Questions –

- Q. Do we need to complete UCAA Form 12 to appoint an Ohio agent for service of process, as we appoint the Director?
- A. Yes, the company must appoint an Ohio resident agent for service of process, the requirement is found in the Ohio Revised Code that applies to all reinsurers including Reciprocal Jurisdiction Reinsurers. The law that makes this appointment a requirement is Ohio Revised Code 3901.62(F) and is shown above. The appointment can be a service of process company, an attorney or other agent. The address must be an Ohio address.
- Q. How long does application take for review?
- A. Review takes approximately 60 days.
- Q. Is there an application fee?
- A. There is no application fee.
- Q. Is there a renewal fee?
- A. There is no renewal fee.
- Q. What is renewal process?
- A. There is no passporting renewal process, the company remains on the list as long as it remains on the NAIC’s listing of Reciprocal Jurisdiction reinsurers.

### Other Links to NAIC

- *ReFAWG Review Process for Passporting Certified and Reciprocal Jurisdiction Reinsurers*  
(<https://content.naic.org/sites/default/files/committee-reFAWG-process-passporting-certified-reciprocal-jurisdiction-reinsurers.pdf>)
- *Process for Evaluating Qualified and Reciprocal Jurisdictions*  
(<https://content.naic.org/sites/default/files/committee-evaluating-qualified-reciprocal-jurisdictions.pdf>)
- NAIC Certified and Reciprocal Jurisdiction Reinsurer webpage  
([https://content.naic.org/cmte\\_e\\_reinsurance\\_certified\\_reciprocal\\_reinsurers.htm](https://content.naic.org/cmte_e_reinsurance_certified_reciprocal_reinsurers.htm))